## MINISTRY OF EDUCATION AND SCIENCE OF UKRAINE ODESSA STATE ENVIRONMENTAL UNIVERSITY

# A.V. Glushkov, O.Y. Khetselius

# MATHEMATICAL PHYSICS OF CLASSICAL AND QUANTUM SYSTEMS. P.7

Lectures Notes

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#### Glushkov A.V., Khetselius O.Y.

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The book presents the detailed explanation of such topics as as electron-betanuclear spectroscopy of atoms and molecules, the effect of the chemical environment on the parameters of beta decay, basic methods in the theory of beta decay and cooperative electron-beta-kaon-nuclear processes, theoretical models of electron rearrangement, induced by nuclear transmutation, the theory of the influence of the chemical environment on the parameters of beta decay, etc.

It can be used by PhD students (postgraduates and scientific workers) of the speciality 113-"Applied Mathematics", as well as 111-"Mathematics" and so on.

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## LIST OF ABBREVIATIONS, CONSTANTS, UNITS USED

MPR – multiphoton resonance (1.resonances)

DE – differential equation (1.method)

QED – quantum electrodynamic

LR – laser radiation

REF – relativistic energy formalism

RHF - relativistic approximation Hartree-Fok

PT – perturbation theory

PTDF – many-particle PT with DF zero approximation;

GF - Green's function (1.method)

AC – alternating current

DF – Dirac-Fock (1.method)

DKS - Dirac-Kohn-Sham (1.method)

DC - direct current

LE - Lyapunov's exponents

QP-quasi-particle

XC (1.effects) – exchange-correlation (1.effects)

WKB - WKB approximation

### CONSTANTS, UNITS USED

#### Fundamental constants:

Speed of light  $c=2,997925 \cdot 10^8 \text{ M/c}$ ; Elementary charge  $e=1,60219 \cdot 10^{-19} \text{ K}\pi$ ; Electron mass  $m=9,1095 \cdot 10^{-31} \text{ K}\Gamma$ ; Planck constant  $\hbar=1,05459 \cdot 10^{-34} \text{ Дж} \cdot \text{c}$ ; Rydberg constant  $R_{\alpha}=1,0973732 \cdot 10^7 \text{ m}^{-1}$  Bore radius  $\hbar^2/me^2=0,5291773 \text{ Å}$ ; Fine-structure constant  $\alpha=e^2/\hbar c, 1/\alpha=137,03597$ ;

**Units.** Everywhere where otherwise indicated, atomic units are used: e=1,  $\hbar=1$ , m=1 (1.c=137,03597). Atomic units of length, time and velocity:  $\hbar^2/me^2=5,291773\cdot10^{-11}$  m,  $\hbar^3/me^4=2,4189\cdot10^{-17}$  s,  $e^2/\hbar=2,1877\cdot10^6$  m/s. Atomic unit of energy (1.a.u.e.)  $me^4/\hbar^2=2Ry=27,2116$ eV=4,3598·10<sup>-18</sup>J=2,19475·10<sup>5</sup> cm<sup>-1</sup> (1. $me^4/2\hbar^2$ = Ry – Rydberg). Energy in Coulomb unts (1.c.u.): 1 c.u.e.= $Z^2$  a.u.e. (1.Z – charge of atomic nucleus). Relativistic units:  $\hbar=1$ , c=1, m=1,  $e^2=1/137,03597$ .

## Introduction

The discipline "Mathematical Physics of Classical and Quantum Systems" is an important, mandatory discipline in the cycle of professional training of graduate students (1.third level of education) in the specialty 113- Applied Mathematics.

The purpose of studying the discipline is to master (1.provide) a number of competencies, in particular, including the study of modern mathematical physics of classical and quantum systems, to develop and use new mathematical approaches, to build fundamentally new methods and algorithms for systems analysis, mathematical modeling, programming and forecasting in solving current problems of theory and practice of complex classical and quantum systems, in general complex systems, use modern scientific methods and achieve scientific results that create new knowledge.

The place of the discipline in the structural and logical scheme of its teaching: the knowledge gained in the study of this discipline is used in writing dissertations, the subject of which is related to the study of fundamental energy (1.radiation) characteristics of complex classical and quantum systems with possible generalization to various classes of mathematical chemical, cybernetic and other systems.

The basic concepts of the discipline are the desired tools of an experienced specialist in the field of applied mathematics.

After mastering this discipline, the graduate student must be able to use modern or develop new approaches, in particular, based on the apparatus of mathematical physics of classical and quantum systems to analyse, model, predict, program the characteristics of complex classical and quantum systems with computer experiments.

In the lecture's notes I present a consistent relativistic approach to calculation of energy and spectral parameters of the kaonic exotic atomic systems with accounting for the nuclear radiative (1.quantum electrodynamics), hyperfine and strong interactions. The approach is naturally based on using the relativistic Klein-Gordon-Fock equation with introduction of electromagnetic and strong interactions potentials

# PART 1 ELECTRON-B-NUCLEAR SPECTROSCOPY OF ATOMIC SYSTEMS AND MANY-BODY PERTURBATION THEORY APPROACH TO COMPUTING B-DECAY PARAMETERS

Abstract. The modern concepts of physical nature of a beta-decay are briefly presented as well as the main characteristics of a beta-decay, classification of the beta-transitions, selection rules etc. It is presented a new relativistic approach to calculating the characteristics of the  $\beta$ -decay of atomic systems (nuclei), based on the combined relativistic nuclear model and relativistic many-body perturbation theory formalism with correct accounting for exchange-correlation, nuclear, radiation corrections. A relativeistic manybody perturbation theory is applied to electron subsystem, and a nuclear relativistic middle-field model is used for nuclear subsystem. All correlation corrections of the second order and dominated classes of the higher orders diagrams are taken into account. Within the framework of the presented theory, the characteristics of a whole series of allowed (super-allowed)  $\beta$ -decays are for  ${}^{33}P \rightarrow {}^{33}S$ ,  ${}^{35}S \rightarrow {}^{35}Cl$ ,  ${}^{45}Ca \rightarrow {}^{45}Sc$ ,  ${}^{63}Ni \rightarrow {}^{63}Cu$ , calculated, namely.  $^{106}$ Ru $\rightarrow$  $^{106}$ Rh,  $^{155}$ Eu $\rightarrow$  $^{155}$ Gd,  $^{241}$ Pu $\rightarrow$  $^{241}$ Am decays. The effect of the chemical environment of an atom on the characteristics (integral Fermi function, half-life) of the beta-transitions is studied. The results of accurate calculation of the betadecay parameters are presented and compared with alternative theoretical data. Results of computing the Fermi function of a  $\beta$ -decay with different definitions of this function are presented. The effect of an atomic field type choice on the beta decay characteristics as well as the influence of accounting for the exchange-correlation effects in the wave functions of the discrete and continuous spectrum on the values of the Fermi and integral Fermi functions are calculated. The obtained data are analyzed and compared with available in literature.

**Keywords**: Beta-decay – Electron-beta-Nuclear Spectroscopy - Relativistic perturbation theory – Correlation, nuclear, radiative corrections - integral Fermi function

#### 1.1 Modern concepts of the physical nature of nuclear beta decay

Nuclear  $\beta$ -decay is a manifestation of the fundamental weak interaction of elementary particles (see, for example, [1-22]). Nuclear  $\beta$ -decay is a manifestation of the fundamental weak interaction of elementary particles (see, for example, [1-6]).

According to modern concepts, beta decay is due to the transformations of quarks: in  $\beta^-$ -decay, one d-quark of a nucleon turns into a u-quark, in  $\beta^+$ -decay reverse transformation occurs. The main quanta of the weak interaction are the so-called intermediate bosons – particles of large mass: 81,8 ( $W^{\pm}$ ) 91,2 ( $Z^0$ ) GeV/s<sup>2</sup>. They were opened in 1983 in CERN (European Center for Nuclear Research, Switzerland). The weak interaction due to the large values of the masses of the virtual intermediate bosons  $W^{\pm}$  and  $Z^0$  is essentially short-range. It is easy to estimate the radius R of the action of exchange forces, which is characterized by the time (unobservability)  $\Delta t$  of violation of the energy conservation law by the amount of energy  $\Delta E = mc^2$  carrier of all types of interactions between particles – virtual boson:

$$R \cong c \cdot \Delta t \cong c \cdot \frac{\hbar}{\Delta E} = \frac{\hbar}{mc}, \qquad (1)$$

where the conjugate parameters  $\Delta t$  and  $\Delta E$  are related and determined by the Heisenberg uncertainty relation and *c* is the velocity of light. Hence, the radius of action of weak forces is

$$R_{W,Z} = \frac{\hbar c}{M_{W,Z} \cdot c^2} \cong \frac{200 \ MeV \cdot Fm}{100 \cdot 10^3 \ MeV} \cong 2 \cdot 10^{-3} \ Fm, \qquad (2)$$

where  $\Delta E \approx M_W c^2 \approx M_Z c^2 \approx 100$  GeV.

Weak interaction is the only interaction in which both the electrical charge of fermions and their aroma can change. The change in the charge of fermions is due to the presence of an electric charge in the quanta of the  $W^{\pm}$  field. The classical theory of weak interaction considered only processes with a change in charge, such as  $\beta$ - and  $\mu$ -decays (Figure 1).

As mentioned above, in the modern Weinberg-Glashow-Salam theory of weak interaction, which combines weak and electromagnetic interactions, in addition to charged W-bosons, there is also a neutral  $Z^0$ -boson. This corresponds to the course of processes in which the electric charge of fermions does not change. Naturally, here we are talking about neutral currents, which make a contribution, for example, to *v<sub>e</sub>e*-scattering (Figure 2).

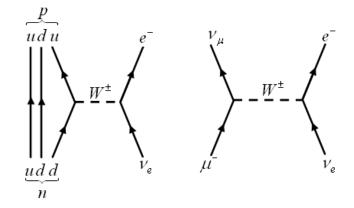


Figure 1 – Feynman diagrams for  $\beta$ - and  $\mu$ -decays

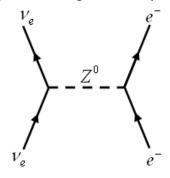


Figure 2 – Contribution of neutral currents to  $v_e e$ -scattering

 $\beta$ -decay of nuclei is one of the three main types of radioactivity. With electronic  $(\beta^{-})$ -decay, one of the neutrons of the nucleus turns into a proton with the emission of an electron and an electron antineutrino  $v_{e}$ :

$${}^{A}_{Z}X_{N} \rightarrow {}^{A}_{Z+1}X_{N-1} + e^{-} + \widetilde{\nu}_{e}, \quad \beta^{-}-decay.$$

$$(3)$$

Here A – the mass number, Z – the charge of a nucleus, N – the number of neutrons. In positron ( $\beta^+$ ) decay, one of the protons of the nucleus turns into a neutron with the emission of a positron and an electron neutrino v<sub>e</sub>.

$${}^{A}_{Z}X_{N} \rightarrow {}^{A}_{Z-1}X_{N+1} + e^{+} + \widetilde{v}_{e}, \quad \beta^{+-} - decay \quad . \tag{4}$$

Beta decay is closely related to the so-called reverse  $\beta$ -processes: capture of an electron from the *K*-shell of an atom (*K*-capture) or less likely to be captured from *L*- and other shells (electronic capture):

$$e^{-} + {}^{A}_{Z}X_{N} \longrightarrow {}^{A}_{Z-1}X_{N+1} + v_{e}, \qquad (5)$$

and also reverse  $\beta$ -decay:

$$v_e(v_e) + {}^{A}_{Z}X_N \to {}^{A}_{Z\pm 1}X_{N\mp 1} + e^-(e^+).$$
(6)

These processes are associated with neutrino processes:

$$\widetilde{\nu}_e + {}^A_Z X_N \to {}^A_{Z-1} X_{N+1} + e^+, \qquad (7a)$$

$$v_e + {}^A_Z X_N \to {}^A_{Z+1} X_{N-1} + e^-.$$
 (7b)

If we do not take into account the structure of the nucleus, then at the level of nucleons the processes described above represent the following fundamental transitions (at the quark level):

$$n \to p + e^- + \tilde{\nu}_e \,, \tag{8a}$$

$$p \to n + e^+ + \nu_e \,, \tag{8b}$$

$$e^- + p \longrightarrow n + \nu_e \,. \tag{8c}$$

 $\beta$ -decay of nuclei is possible in the case when the difference between the masses of the initial N and final N'-nuclei converts the sum of the electron masses  $m_e$  and neutrinos  $m_v$ .

Energy conditions for  $\beta^-$ ,  $\beta^+$ -decays and electron capture differ. When discussing the energy balance of these processes, we denote by m(Z,A) the mass of a neutral atom (not a nucleus). We will not take into account the neutrino rest mass due to its smallness.

 $\beta$ -decay. The  $\beta$ -decay energy  $Q_{\beta}$ -has the form

$$Q_{\beta^{-}} = [m(Z, A) - Zm_{e}]c^{2} - [(m(Z+1, A) - (Z+1)m_{e} + m_{e}]c^{2} =$$
$$= [m(Z, A) - m(Z+1, A)]c^{2}.$$
(9)

This so-called quantity  $Q_{\beta}$  in  $\beta$ -decay corresponds to the difference in the masses of the parent and daughter atoms.

 $\beta^+$ -decay. Similarly for  $\beta^+$ -decay fair

$$Q_{\beta^{+}} = [m(Z,A) - Zm_{e}]c^{2} - [(m(Z-1,A) - (Z-1)m_{e} + m_{e}]c^{2} = = [m(Z,A) - m(Z-1,A)]c^{2}.$$
(10)

Since the atomic masses are used, the energy at rest of the electron and positron is also taken into account.

Electronic capture (EC). With electronic capture, it turns out

$$Q_{EC} = [m(Z, A) - Zm_e]c^2 + mc^2 - [m(Z - 1, A) - (Z - 1)m_e]c^2 = [m(Z, A) - m(Z - 1, A)]c^2.$$
(11)

For the  $\beta$ -transition to occur, the corresponding quantity Q must satisfy the condition

$$Q_i > 0, \quad i = \beta^-, \beta^+, EC.$$
 (12)

It is seen that electron capture is energetically more preferable than  $\beta^+$ -decay:

$$Q_{\beta^{+}} = Q_{EC} - 2m_e c^2.$$
 (13)

Emission of an electron is possible only when the mass difference between the parent and daughter atoms is at least not less than  $2m_ec^2$ . Due to the fact that  $\beta^+$ -decay and electron capture lead to the formation of the same daughter nucleus, electron capture is always a competing process for  $\beta^+$ -decay. If the mass difference  $Q_{EC}$  is in the range from 0 to  $2m_ec^2$ , then only electron capture is possible. Of course, in many cases, as a result of the  $\beta$ -transition, instead of the ground state of the daughter nucleus, its excited state is formed. An excited nucleus usually passes into the ground state by the emission of  $\gamma$ -quanta or conversion electrons. If the excitation energy exceeds the binding energy of a neutron or the fission barrier, then  $\beta$ -delayed emission of a neutron (proton) or  $\beta$ -delayed nuclear fission can occur. These processes are very important for the physics of reactors (delayed neutrons), as well as the synthesis of heavy elements in the Universe, etc. (see, for example, [1-22]).

When  $\beta^+$ -decay is energetically possible, electron capture is also possible. In some cases, the so-called double beta decay can occur:  $A(Z,N) \rightarrow A(Z \pm 2, N \mp 2)$  with the emission of two  $\beta$ -particles and a neutrino pair, or without the emission of neutrinos.

The energy released during  $\beta$ -decay is distributed between the electron, neutrino and the final nucleus, and the overwhelming part is accounted for by light particles.

Therefore, the spectrum of emitted  $\beta$ -particles is continuous and their kinetic energy takes values from 0 to a certain boundary energy  $E_0$ , determined by the relation (see, for example, [3,5]):

$$E_0/c^2 = M(A,Z) - M(A,Z+1) - m_e - m_v, \qquad (14)$$

where M – are the masses of the initial and final nuclei.

The foundations of the theory of beta decay were created by E. Fermi in 1934. Fermi proceeded from the four-fermionic interaction of nucleons and leptons by analogy with the effective electron-nucleon interaction in electrodynamics. In this case, it is important that, in contrast to the electromagnetic interaction, which is long-range, the four-fermionic Fermi interaction was contact (local). The Hamiltonian of the Fermi nucleon-lepton interaction is written in the form (see, for example, [1,3]):

$$H_{\beta} = G_{\beta}(\overline{\psi}_{n} \gamma_{\mu} \psi_{n})(\overline{\psi}_{e} \gamma^{\mu} \psi_{\nu}).$$
(15)

Here  $G_{\beta}$  – is the coupling constant (Fermi constant);  $\Psi$  – four-component wave functions of interacting particles, satisfying the Dirac equation;  $\overline{\psi}_e = \Psi + \gamma_0 - conjugate$  wave functions;  $\gamma^{\mu}$  – Dirac matrices,  $\mu=0,1,2,3,4$ ;  $\gamma^0=\gamma_0$ ;  $\gamma^i=-\gamma_i$  (i=1,2,3).

In the original version of Fermi's theory, the nucleon-lepton interaction had a purely vector form. Later it became clear that the weak interaction Hamiltonian can be a combination of relativistically invariant terms formed from a scalar (S), a pseudoscalar (P), a vector (V), an axial vector (A), and a tensor (T).

The discovery of spatial parity nonconservation, the study of the correlations between the directions of emission of  $\beta$ -particles and neutrinos in  $\beta$ -decay of <sup>35</sup>Ar and <sup>6</sup>He nuclei, as well as the angular distributions of electrons and neutrinos in the decay of polarized neutrons showed that  $\beta$ -decay is mainly realized in the V-A-variant. The effective  $\beta$ -decay Hamiltonian used in modern calculations was proposed by R.F. Feynman and M. Gell-Man in 1958 and is written in the following form:

$$H_{\beta} = \frac{G_{\beta}}{\sqrt{2}} J^{\mu}(x) L_{\mu}(x) + \text{h.c.}$$
(16)

Here h.c. – these are Hermitian conjugate terms;  $J^{\mu}$  – nucleon current;  $L_{\mu}$  – lepton current; x – space-time coordinate;  $G_{\beta} = G_{\mu} \cdot \cos \vartheta_c$ , where  $G_{\mu}$  – universal constant of weak interaction; multiplier  $\cos \vartheta_c$  responds to processes without changing weirdness ( $\vartheta_c$  – so called Cabibbo angle); Constant  $G_{\beta} = 1,40 \cdot 10^{-49}$  erg.cm<sup>3</sup> was found experimentally.

The lepton current  $L_{\mu}$  is a combination of V–A terms with equal weights and is expressed through the wave functions of the electron and neutrin:

$$L_{\mu}(x) = \overline{\psi}_{e}(x) \gamma^{\mu} (l + \gamma_{5}) \psi_{\nu}, \qquad (17)$$

where  $\gamma_5 = i \gamma_0 \gamma_1 \gamma_2 \gamma_3$ . The nucleon current  $J^{\mu}$  is also a combination of the vector and axial-vector terms

$$J^{\mu} = V^{\mu}(x) - A^{\mu}(x).$$
 (18)

It cannot be written out explicitly in terms of the wave functions of nucleons; however, the matrix elements of  $V^{\mu}$  and  $A^{\mu}$  between the nucleon states, which de-

termine the characteristics of the nucleon-resonance, can be expressed through a small number of coupling constants  $g_V$ ,  $g_M$ ,  $g_S$ ,  $g_p$ ,  $g_T$  (see, for example, [1-5]):

$$< N' | V^{\pm}(0) \pm | N > = \overline{U}_{N'} [ g_V(q^2) \gamma_{\mu} + \frac{g_M(q^2)}{2Mc} \sigma_{\mu\nu} q^{\nu} + g_S(q^2) q_{\mu} ] \tau^{\pm} U_N,$$
 (19a)

$$< N' | A_{\mu}^{\pm}(0) \pm | N > = \overline{U}_{N'} [ g_A(q^2) \gamma_{\mu} + g_P(q^2) q_{\mu} + \frac{g_T(q^2)}{2Mc} \sigma_{\mu\nu} q^{\nu} ] \gamma_5 \tau^{\pm} U_N, \quad (19b)$$

where *N*, *N'*– initial and final nucleons; *U* – Dirac bispinor (solution of the free Dirac equation);  $\tau^{\pm}$  – increasing and decreasing isospin operators, converting neutron to proton and proton to neutron;  $\sigma_{\nu\mu} = 1/2$  ( $\gamma_{\mu'} \gamma_{\nu} - \gamma_{\nu} \gamma_{\mu}$ ); *N* = 0,1,2,3;  $q_{\mu} = (P_{N'} - P_N)\mu$  – transmitted fourth pulse;  $P_{N'} \amalg P_N$  – momenta of the initial and final states of the nucleon. From the hypothesis of conservation of the vector current it follows that:  $g_{\nu} \equiv g_{\nu}(0) = 1$ ,  $g_{S}(q^2) = 0$ ,  $g_{M}(0) = \mu_p - \mu_n = 3,70$ , where  $\mu_{p,\mu_n}$  – abnormal magnetic moments of the proton and neutron in units nuclear magneton. Experimental studies of  $\beta$ -decay of nuclei have confirmed the hypothesis of conservation of the vector stant  $g_T$ , which characterizes the axial current of the second kind:  $|g_T/g_A| \le 10^{-4}$ .

The energies released during  $\beta$ -decay are small compared to  $m_N c^2 (m_N - nu$ cleon mass), therefore, it is natural to consider the transmitted fourth pulse  $q_M$ equal to 0. Then the one-nucleon Hamiltonian  $H_\beta$  is written in the form:

$$H_{\beta} = \frac{G_{\beta}}{\sqrt{2}} \{ g_V (1L_0 - \alpha L) - g_A (\gamma_5 L_0 - \sigma L_0) \} \tau^{\pm}.$$
(20)

Here  $g_V$  and  $g_A$  – vector and axial constants of nucleon-lepton interaction; 1 – single operator;  $\alpha = \gamma_0 \gamma$  – Dirac matrices;  $\sigma = -\gamma_0 \gamma \gamma_5$  – Pauli spin matrices. As a result, the effective  $\beta$ -decay Hamiltonian is determined by two coupling constants – the vector  $g_V$  and the axial-vector  $g_A$ .

Further development of the theory led to the creation of a unified theory of weak and electromagnetic interactions (and then the Standard Model), however, the existence of intermediate bosons has practically no effect on the theory of beta decay due to the smallness of the energy  $E \leq 10$  MeV in comparison with  $m_W c^2$ . For this reason, in fact, the theory of electroweak interactions for  $\beta$ -decay is reduced to the theory of Feynman and Gell-Mann (see explanation and citation Refs. in [1-4]).

# **1.2** Main characteristics of $\beta$ -decay. Classification of $\beta$ -transitions. Selection rules

As is known, the main characteristics of  $\beta$ -decay include the half-life  $T_{1/2}$ , the shape of  $\beta$ -spectra,  $\beta^{\pm} - \gamma$ -angle correlations, etc. An analysis of the  $fT_{1/2}$  values, together with the selection rules (see below), makes it possible to determine the unknown values of nuclear spins and parities, i.e. is one of the important methods of nuclear spectroscopy (see, for example, [3]). Since the  $fT_{1/2}$  values are directly related to the matrix elements of  $\beta$ -transitions, they also contain information about the nuclear structure.

To determine the characteristics of beta decay, it is initially necessary to determine the amplitude of the process, which is determined by the matrix element of the transition between the initial i and final f nuclear states:

$$M_{fi} = \langle f \mid H_{\beta} \mid i \rangle. \tag{21}$$

In the case of  $\beta$ -decay of a nucleon, the desired matrix element:

$$M_{fi} = \int \psi_{f}^{+}(r_{I}, \dots, r_{A}) H_{\beta}(r_{I}, \dots, r_{A}) \Psi_{i}(r_{I}, \dots, r_{A}) d^{3} r_{I}, \dots, d^{3} r_{A},$$
(22)

where the effective Hamiltonian of the process  $H_{\beta}$  is equal to the sum of the terms describing the  $\beta$ -decay of individual nucleons that make up the nucleus:

$$H_{\beta}(r_{l},...,r_{A}) = \sum_{i=1}^{i=A} H^{i}_{\beta}(r_{i}), \qquad (23)$$

where r – spatial coordinate of nucleons in the nucleus.

It should be emphasized here that the theory describes not only one-nucleon transitions. In the wave functions of the initial and final states of nuclei, it is possible to take into account the effects of a multi-nucleon structure, including the possibility of collective excitations of the nucleus [2-20]. Naturally, in this approximation, the so-called meband exchange currents, which describe the emission of the  $e\tilde{v}_e$  ( $e^+ v_e$ ) pair by virtual mesons, which are exchanged by nucleons in the nucleus, are not taken into account. Also, the emission of a lepton pair by nucleons, which occurs due to the exchange of virtual mesons, is not taken into account.

In fact, taking into account the meson exchange currents lead to the manybody operator  $H_{\beta}$ . It should also be added that the contributions of the sought-for meson exchange currents to the  $\beta$ -spectra and half-lives can reach several percent. The  $\beta$ -particle spectrum is related to the matrix element  $M_{fi}$  by the following expression [4]:

$$N(E) dE = \frac{G_{\beta}^2}{2\pi^2 c^5 \hbar^7} / M_{fi} / ^2 pE (E_0 - E)^2 dE, \qquad (24)$$

where p and E – momentum and energy of the emitted  $\beta$ -particle;

In deriving expression (24), it was assumed that  $m_v = 0$  and the recoil energy of the final nucleus is negligible compared to  $E_0$ . If  $M_{fi}$  does not depend on energy, then the shape of the  $\beta$ -spectrum is determined only by the "statistical" factor:

$$N(E) \sim pE (E_0 - E)^2.$$
 (25)

When calculating the matrix elements  $M_{fi}$ , a number of approximations are usually used, namely [3]: 1) the boundary energies  $E_0$  are relatively small; therefore, the de Broglie wavelengths of the emitted leptons are large compared to the size R of the nuclei:  $pR |\hbar \ll 1$ ,  $qR |\hbar \ll 1$ , those the wave functions of leptons vary slightly inside the nucleus; 2) being taken between nuclear states, some operators entering the formula for  $H_\beta$  have matrix elements of the order 1, whereas others have matrix elements of order  $v_N/c$ , where  $v_N$  – characteristic velocity of a nucleon in a nucleus.

For light and medium nuclei, the parameter  $Ze^2 / \hbar c <<1$ . When calculating  $M_{fi}$ , an expansion in these small parameters is usually used. The neutrino wave function  $\Psi_{\nu}$  entering the lepton part of the matrix element  $L\mu(r)$  is described by a plane wave, i.e.:

$$\Psi_{v}(r) \sim exp(-iqr \mid \hbar) \approx 1 - iqr \mid \hbar - 1/2(qr \mid \hbar)^{2} + \dots$$
 (26)

Since it is obvious  $qR \mid h \ll 1$ , then inside the nucleus  $(r \ll R) \Psi_v(r) \approx \text{const}$ , and upon integration over the volume of the nucleus, the neutrino wave function does not lead to the dependence  $M_{fi}$  from *E*. In the approximation of neglecting the interaction of the emitted  $\beta$ -particle with the Coulomb fields of the nucleus and the electron shell of the atom, its wave function can also be represented as a plane wave, i.e.:

$$\Psi_e(r) = \exp(-ipr/\hbar). \tag{27}$$

Taking into account the Coulomb fields of the nucleus and the electron shell of the atom leads to a difference between the wave function and a plane wave; as a result, the wave function becomes dependent on the energy *E* even at  $pr/\hbar <<1$ . Note that initially this circumstance was ignored and this often predetermined a significant error in calculating the characteristics of beta decay [4]. To take into account the influence of the Coulomb interaction of the emitted  $\beta$ particles on their energy spectrum, the so-called Coulomb correction factor is introduced, which is determined by the known Fermi function F(Z,E). When  $pr/\hbar <<1$  this factor is usually defined as the square of the ratio of the  $\beta$ -particle wave functions calculated with ( $Z \neq 0$ ) and without (Z = 0) the Coulomb field of the nucleus at the center (r = 0) or at the periphery (r = R) of the nucleus, i.e. [4,12,13]:

$$F(\mathbf{Z}, E) = / |\Psi_e|^2 / |\Psi_e|^2_0.$$
(28)

The approximation, in which only the leading nucleon contributions to the Hamiltonian  $H_{\beta}$  are taken into account, and the lepton wave functions inside the nucleus are assumed to be independent of coordinates, is called allowed in the theory of beta decay. In this approximation, the spectrum of  $\beta$ -particles is described by the expression:

$$N(E) dE = \frac{m_e^5 c^4}{2\pi^3 \hbar^7} G_\beta F(Z, E \{ n^2 V \mid \int 1 \mid^2 + g^2 A \mid \int \sigma \mid^2 \} \times x E \sqrt{E^2 - 1} (E_0 - E)^2 dE.$$
(29)

Here the energy is expressed in units of  $m_e c^2$  ( $m_e$  – is the electron mass);

$$\int 1 \equiv \left\langle f \mid \sum_{i=1}^{A} \tau_{\pm}^{(i)} \mid i \right\rangle ,$$
  
$$\int \sigma \equiv \left\langle f \mid \sum_{i=1}^{A} \sigma^{(i)} \tau_{\pm}^{(i)} \mid i \right\rangle.$$
(30)

The first relation corresponds to the vector interaction  $C_V$  and is called the Fermi matrix element, and the second relation corresponds to the axial-vector interaction  $C_A$  and is called the Gamow-Teller matrix element. In the general case, it is

not possible to determine  $|i\rangle$ , < f | which correspond to the initial and final states of the nucleus.

However, if we take the spin *I* and parity  $\pi$  of the states of the nucleus, between which the  $\beta$ -transition is observed, as a basis for consideration, then we can derive selection rules (see below) for the nuclear matrix elements that arise in the series expansion of the interaction Hamiltonian and, in particular, the above nuclear matrix elements. Functions  $|i\rangle$  and  $\langle f|$  can be formally represented:

$$|i\rangle = <\tilde{i}I_i(M_i)>, \tag{31a}$$

$$< f \mid = < I_f(M_f)\tilde{f} >,$$
 (31b)

where  $I_i$ ,  $I_f$  – the initial and final spins of the states of the mother and daughter nuclei, between which there is  $\beta$ -transition;  $M_i$ ,  $M_f$  – their projections respectively;  $\tilde{i}$ ,  $\tilde{f}$  – quantities that include all the remaining characteristics of the nuclei. This approach allows us to rewrite the expression for nuclear matrix elements in the form:

$$\int 1 = \left\langle I_f \left( M_f \right) \tilde{f} \left| \sum_{s=1}^{A} \tau_{\pm}^s \right| \tilde{i} I_i \left( M_i \right) \right\rangle$$
(32a)

$$\int \boldsymbol{\sigma} = \left\langle \boldsymbol{I}_{f} \left( \boldsymbol{M}_{f} \right) \tilde{f} \left| \sum_{s=1}^{A} \boldsymbol{\sigma}^{s} \boldsymbol{\tau}_{\pm}^{s} \right| \tilde{i} \boldsymbol{I}_{i} \left( \boldsymbol{M}_{i} \right) \right\rangle$$
(32b)

To obtain selection rules for the total angular momentum of a lepton pair J, it is necessary to use the Wigner-Eckart theorem, which allows one to separate the parts associated with the spin projections from the corresponding nuclear matrix elements and proceed to the consideration of the reduced matrix elements (see below).

Further, it is important to note that it is obvious that the Coulomb field of the nucleus increases the probability of the emission of electrons and decreases the probability of the emission of positrons in the low-energy region. In addition, when the Fermi factor F(Z, E) is taken into account, the probability of electron emission during beta decay at the lower boundary of the  $\beta$ -spectrum does not vanish, but tends to a finite value. The influence of the Coulomb factor on the  $\beta$ -

spectra and the probability of beta decay increase with increasing Z and decreasing  $E_0$ . When calculating F(Z, E), it is also necessary to take into account the screening of the nuclear charge by atomic electrons (it is especially important in the case of  $\beta^+$ -decay).

It should be emphasized here that this effect has not yet found an adequate quantitative description in modern calculations (e.g. [1-13]. In many papers (e.g. [1-30] the possibility of influencing the processes of nuclear decay with the participation of electrons of the atomic shell (*K*-capture and internal conversion) by ionizing the atom was considered. In the German research center GSI, it was experimentally shown that the effect of the presence or absence of electron shells in an atom can significantly change the entire decay scheme and, accordingly, the quantitative characteristics (e.g. [3]).

The total probability W of beta decay per unit time can be obtained by integrating (29) over energy and has the form:

$$W = \frac{m_e^5 c^4}{2\pi^3 \hbar^7} G_\beta \left\{ g^2 V |\int 1|^2 + g^2 A |\int \sigma|^2 \right\} f, \qquad (33a)$$

$$f = \int_{1}^{E_0} F(Z, E) E \sqrt{E^2 - 1} (E_0 - E)^2 dE.$$
(33b)

In the case of neglecting the interaction of the emitted  $\beta$ -particle with the Coulomb field of the atom, one can obtain:

$$f/_{Z=0} = \int_{1}^{E_0} E\sqrt{E^2 - 1} (E_0 - E)^2 dE =$$
$$= \sqrt{E^2 - 1} \left[\frac{E_0^4}{30} - \frac{3E_0^2}{20} - \frac{2}{15}\right] + \frac{E_0}{4} \ln(E_0 + \sqrt{E_0^2 - 1}).$$
(34)

The *f* value is calculated using the tabulated values of the Fermi function F(Z, E) [3,12]. In the general case, F(Z,E) is defined as the ratio of the probabilities of finding an electron at a certain point with Z = 0 and without  $Z \neq 0$  taking into account the field of the atom:

$$F(E,Z) = |\psi|_{Z\neq0}^{2} / |\psi|_{Z=0}^{2} .$$
(35)

A remarkable feature of the allowed transitions is the fact that all nuclear  $\beta$ moments are concentrated in one factor, and the energy dependence is due only to a statistical factor and a function F(Z,E).

Thus, in the expression for the normalized  $\beta$ - and  $\tilde{\nu}$  -spectra, the factor

$$\left[C_V^2 < 1 >^2 + C_A^2 < \boldsymbol{\sigma} >^2\right]$$

can be taken out from under the integral sign, and after abbreviations, the final expressions for calculating the spectra of allowed transitions can be obtained. By definition, the half-life  $T_{1/2}$  is related to the beta-decay probability W by the standard ratio:

$$W = ln2/T_{1/2}$$
. (36)

Then you can write:

$$fT_{1/2} = k / \left\{ g^2 V | \int 1 |^2 + g^2 A | \int \sigma |^2 \right\},$$
(37)

where  $k = 2\pi^3 ln 2\hbar^7/m_e^5 c^4 G_{\beta}^2 = G_{\beta}^{-2} \cdot 12306 s$ . The  $fT_{1/2}$  value is usually called the comparative half-decay period and plays an important role in the classification of  $\beta$ -transitions (see below). The function f takes into account the dependence of the beta decay probability on  $E_0$  and Coulomb effects; therefore,  $fT_{1/2}$ , in contrast to the standard half-life  $T_{1/2}$ , depends only on  $M_{fi}$ .

Next, we briefly consider the main classification of  $\beta$ -transitions. It should be noted right away that beta decay is characterized by a wide range of changes in the half-lives of  $T_{1/2}$ , usually from  $10^{-2}$  s to  $10^{16}$  years. Such a significant variation in the  $T_{1/2}$  values is explained by several reasons. First of all, this is due to the fact that the half-life strongly depends on  $E_0$  (at  $E_0 >> m_e c^2$ ,  $W \sim E_0^5$ ), and the value of  $E_0$  varies widely from 2,64 keV for the <sup>187</sup>Re $\rightarrow$ <sup>187</sup>Os transition to 13,43 MeV for <sup>12</sup>B $\rightarrow$ <sup>12</sup>C. On the other hand, depending on the spins and parities of the initial and final nuclear states, various terms in the effective beta decay Hamiltonian, whose matrix elements have different orders of magnitude, contribute to the process amplitude. Finally, the lepton pair emitted during beta decay can carry away different orbital angular momentum.

With an increase in this moment, due to the centrifugal effect, the values of the wave functions of leptons in the intranuclear region, and, consequently, the overlap integral of wave functions, which determines the matrix element  $M_{fi}$ Accordingly, all  $\beta$ -transitions are divided into allowed and forbidden.

Let's consider the allowed transitions first. In the allowed approximation, the wave functions of leptons inside the nucleus are constant, and leptons do not carry away the orbital angular momentum.

Moreover, if the spin of the nucleus does not change, then the total spin carried away by the lepton pair is also equal to 0. Such transitions are called Fermi transitions.

In the case when the vector change in the nuclear spin (the total spin carried away by the lepton pair) is equal to 1, then, by definition, these transitions are called Gamow-Teller. The parity of nuclear states in allowed  $\beta$ -transitions does not change. As a result of the selection, the rules limiting the change in the total moment *I* and the parity  $\pi$  of the nucleus, in the case of allowed transitions of the Fermi type, are written in the form:  $\Delta I = / I_f - I_i /=0$ ;  $\Delta \pi \equiv \pi_f \pi_i =+1$ . For Gamow-Teller transitions, similar selection rules are:  $\Delta I = 1$ ,  $\Delta \pi = +1$ .

Transition	$I_i^{\pi_i} \to I_f^{\pi_f}$	T <sub>1/2</sub>	$E_0$ , keV	fT'1/2
$n \rightarrow p$	$1/2^+ \rightarrow 1/2^+$	11,7+0,3 min	782±1	1187±35
<sup>3</sup> H→ <sup>3</sup> He	$1/2^+ \rightarrow 1/2^+$	$3,87^{-}10^{8}$ s	18,65±0,	1132±40
<sup>6</sup> He→ <sup>6</sup> Li	$0^+ \rightarrow 1^+$	0,813±0,7 s	3500±2,0	808±32
$^{17}\text{F}{\rightarrow}^{17}\text{O}$	$5/2^{+} \rightarrow 5/2^{+}$	66,0±0,5 s	1748±6	2380±40
<sup>35</sup> Cl→ <sup>35</sup> Ar	$3/2^{+} \rightarrow 3/2^{+}$	1,804±0,21 s	$4948 \pm 30$	$5680 \pm 400$
$^{14}\text{O} \rightarrow ^{14}\text{N}$	$0^+ \rightarrow 0^+$	71,36±0,09 c	1012,6±1,4	3066±10
$^{34}\text{Cl} \rightarrow ^{34}\text{S}$	$0^+ \rightarrow 0^+$	1,565±0,07 s	4460±4,5	3055±20
$^{42}$ Sc $\rightarrow$ $^{42}$ Ca	$0^+ \rightarrow 0^+$	$0,\!6830 \pm 0,\!0015$	5409±2,3	3077±9
$^{46}V \rightarrow ^{46}Ti$	$0^+ \rightarrow 0^+$	S	6032,1±2,2	3088±8
$^{50}Mn \rightarrow ^{50}Cr$	$0^+ \rightarrow 0^+$	0,4259±0,0008 s	6609,0±2,6	3082±9
		0,2857±0,0006 s		

Table 1 – Characteristics of some super-allowed  $\beta$ -transitions

Further, in the modern classification, allowed transitions are subdivided into super-allowed and hindered. The first include transitions between nuclear states with similar wave functions, as a result of which the integrals of their overlap are large ( $\int \sim 1$ ,  $\int \sigma \sim 1$ ), and the values  $fT_{1/2}$  take minimum values. The super-allowed transitions include, in particular, transitions between states belonging to the same isomultiplet (between analog states of nuclei). For supersolved  $\beta^{\pm}$ -

transitions,  $\int 1$  can be calculated exactly [2-4]. The fact is that  $\sum_{i=1}^{A} \tau_{\pm}^{i} = T_{\pm}$ , where T is the isotopic spin of the initial nucleus. Wherein:  $\int 1 = [(T \mp T_{3}) \cdot (T \pm T_{3} + 1)]^{1/2}$ . Here T<sub>3</sub> is the isospin projection for the initial nucleus, numerically equal to  $\frac{1}{2}$  (Z - N) (it is assumed that the  $\beta$ -transition occurs between pure isospin states; taking into account the meson exchange currents does not change this result, which is due to the conservation of isospin).

In the case of super-allowed transitions  $0^+ \rightarrow 0^+$  between neighboring terms of the isomultiplet:  $\int \sigma = 0$  and, at T = 1:  $\int 1 = \sqrt{2}$ .

For such super-resolved transitions, the  $f T_{1/2}$  values should be the same, which is in good agreement with available data (see Table 1) [2,3]. Relation (37) allows you to determine the value of  $G_{\beta}$  from the measured values of  $f T_{1/2}$  for  $0^+ \rightarrow 0^+$  transitions:

$$G_{\beta} = (1,4057 \pm 0,0016 \pm 0,0070) \cdot 10^{-49} \,\mathrm{erg.} \,\mathrm{cm}^3.$$
 (38)

Further, we note that the Gamow-Teller transitions  $0^+ \rightarrow 1^+$  are characterized by a single matrix element  $\int \sigma \neq 0$  and can be used to obtain information on the value of the axial-vector coupling constant  $g_A$ . The most accurate value  $g_A = 1,254\pm0,007$  was obtained from the data on  $\beta$ -decay of the neutron.

The so-called hindered transitions differ from the super-allowed transitions by a relatively weak overlap of the wave functions of the initial and final nuclear states, as a result of which the matrix elements turn out to be small compared to the matrix elements of the super-allowed transitions [2-4]. An example of hindered transitions is the  $0^+ \rightarrow 0^+$  transitions between states belonging to different isospin multiplets. Such transitions satisfy the Fermi-type selection rules  $\Delta I=0$ ,  $\Delta \pi=+16$ , and are described by a single matrix element  $\int 1$ . If the initial and final nuclear states are pure isospin states belonging to different isomultiplets,  $\int 1=0$  and the transition probability W=0.

It should be remembered that the Coulomb interaction in nuclei violates isotopic invariance. Then the nuclear states (especially in heavy nuclei) are not pure and contain impurities of states with a different isospin. As a result, the matrix elements of such transitions are not equal to 0. They are small compared to the usual allowed matrix elements, although the spin and parity selection rules are satisfied.

Another type of  $\beta$ -transitions is called forbidden transitions. Selection rules for matrix elements of forbidden transitions are derived similarly to the case of allowed transitions, while the expression for the matrix element after applying

the procedure for separating the reduced matrix elements ( $\beta$ -moments) has a rather complicated and inconvenient form for practical use. To simplify it, the socalled normal approximation is used, based on the fact that nuclear  $\beta$ -moments have different orders of magnitude. The small parameters by which these quantities are estimated are: nucleon velocity  $V_N$ , nucleus radius R, Coulomb smallness parameter aZ.

The order of smallness of the  $\beta$ -moments included in the expansion of the matrix element determines the degree of inhibition of  $\beta$ -transitions.

Forbidden transitions include transitions in which a lepton pair carries away the orbital angular momentum and (or) the main contribution to the process amplitude is made by small matrix elements from the operators  $\gamma_5$ , $\alpha$  in the effective Hamiltonian H<sub>β</sub>. Forbidden transitions are classified according to the degree of smallness of the matrix element. Transitions of the first order of exclusion include transitions described by matrix elements  $\int \alpha$ ,  $\int r$ ,  $\int \gamma_5$ ,  $\int [\sigma r]$ ,  $\int (\sigma r)$ ,  $\int B_{ij}$ , where

$$\begin{aligned} \int \alpha = < \mathbf{f} \mid \sum_{a=1}^{A} \alpha^{a} \tau_{\pm}^{a} \mid \mathbf{i} >; \\ \int \mathbf{r} = < \mathbf{f} \mid \sum_{a=1}^{A} r^{a} \tau_{\pm}^{a} \mid \mathbf{i} > \text{etc.} \end{aligned}$$
$$B_{ij} \equiv \sigma_{i} \mathbf{x}_{j} + \sigma_{j} \mathbf{x}_{i} 2/3(\sigma r) \sigma_{ij}; \mathbf{i}, \mathbf{j} = 1, 2, 3; \end{aligned}$$

 $x_i$  – vector component *r*.

The first 2 matrix elements are due to the vector current, the rest - to the axial one. Matrix elements containing the value r arise when a lepton pair carries away the orbital angular momentum 1. Selection rules for matrix elements  $\int \gamma_5$ ,  $\int (\sigma r)$  are as follows:  $\Delta I = 0$ ,  $\Delta \pi = -1$ . For  $\int \alpha$ ,  $\int r$  and  $\int [\sigma r]$ , the selection rules are:  $\Lambda I$ Δπ 0-(transitions 0↔0 = 1-. are prohibited). In the transitions described by matrix transitions of the first forbidden, the lepton pair carries away the total moment 2, and the selection rules are as follows:  $\Delta I^{\Delta \pi} = 2^{-}, 1^{-}, 0^{-}$  (forbidden transitions  $0 \leftrightarrow 0, 0 \leftrightarrow 1, 1/2 \leftrightarrow \frac{1}{2}$ ). The matrix elements  $\int \gamma_5$  and  $\int \alpha$  are of order of smallness (v<sub>N</sub>/c). For matrix elements containing r, it is natural to expect that the order  $pR|\hbar \leq E_0 R/\hbar c$ . However, this is only true for unique transitions. For the rest of the matrix elements, in the case when the nuclear charge Z satisfies the so-called  $\xi$ -approximation  $\xi \equiv (Ze^2/rE_0) >> 1)$ [4], Coulomb effects lead to an increase in the wave function of the electron inside the nucleus. As a result, these matrix elements are of the order of smallness Z/137 rather than pR/ħ. Note that the condition  $\xi >>1$  holds for most  $\beta$ transitions. With an increase in the order of exclusion, the number of the corresponding matrix elements that determine the transition probability

increases, and the difficulty of analyzing the experimental data increases; in this case, the matrix elements themselves decrease in order of magnitude [4]. Selection rules for  $\beta$ -transitions of the nth order of prohibition:  $\Delta \pi = (-1)^n$ ,  $\Delta I \leq n$  for ordinary transitions and  $\leq n + 1$  for unique transitions. With an increase in n and a decrease in matrix elements, the value of  $fT_{1/2}$  increases.

Although the range of its variation is narrower than for  $T_{1/2}$ , it turns out to be quite large, so here it is convenient to characterize  $\beta$ -transitions by the value  $lg f T_{1/2}$  (see Table 2).

Transition type	Selection	$lg fT_{1/2}$	$lg fnT_{1/2}$
	rules		
Allowed over-	$\int \Delta I = 0,1$	3,5±0,2	
authorized	$\Delta \pi = +1$	5,7±1,1	
hindered			
	$\Delta I = 1,0$	7,5±1,5	
Forbidden	$\Delta \pi$ =-1		
first ban	$\Delta I = 2$		$8,5{\pm}0,7$
	$\Delta \pi$ =-1		
uniquefirst ban			
	$\Delta I = 2$		
second ban	$\Delta \pi = +1$	$12,1\pm1,0$	
	$\Delta I = 3$		11,7±0,9
unique second	$\Delta \pi = +1$		
prohibition			
	$\Delta I = 3$	18,2±0,6	
third ban	$\Delta \pi$ =-1		
	$\Delta I = 4$		$15,2(^{40}\text{K})$
unique third prohi-	$\Delta \pi$ =-1	22,7( <sup>115</sup> ln)	
bition	$\Delta I = 4$		
fourth ban	$\Delta \pi = +1$		

Table 2 – Selection rules for  $\beta$ -transitions of various types

Further, before proceeding to a detailed analysis of the current state of calculations of the characteristics of beta decay, we note some experimental aspects of the problem, following [2,4]. Usually  $\beta$ -spectra are experimentally investigated, as a rule, using beta-spectrometry. In the case of allowed transitions, the  $\beta$ spectra are described by the expression:

$$N(E)dE \sim F(Z,E)pE(E_0 - E)^2 dE.$$
 (39)

To study  $\beta$ -spectra, so-called Curie plots are usually used, which depict the dependence of the quantity  $K \equiv [N(E)F(Z,E)pE]^{\frac{1}{2}}$  from *E*.

For allowed transitions, the Curie graph has the form of a straight line segment intersecting the abscissa axis at the point  $E=E_0$ . More precisely, the shape of the observed spectrum is:

$$N(E_{e}) = \frac{V^{2}}{2\pi^{3}c^{5}\hbar^{7}} \overline{\left|\left\langle f \left| H_{\beta} \right| i \right\rangle\right|^{2}} E_{e} p_{e} (E_{0} - T_{e})^{2} .(40)$$

This expression qualitatively explains the shape of the observed  $\beta$ -spectrum and is usually used for the experimental determination of the boundary  $\beta$ -decay energy. After dividing the left side of (40) by  $E_ep_e$ , and then extracting the square root of this value, you can get a function that is linearly dependent on the kinetic energy of the electron  $T_e$ . The graph of this function, the Curie graph is described by the equation:

$$\left(\frac{N(E_e)}{E_e p_e}\right)^{1/2} = const \cdot \left|\overline{\langle f | H_\beta | i \rangle}\right|^2 (E_0 - T_e).$$
(41)

The graph is a straight line only if the matrix element really does not depend on the electron momentum, which occurs in the case of allowed  $\beta$ -transitions. It is very convenient to find the boundary  $\beta$ -decay energy  $E_0$  from the graph, since the function should vanish exactly at  $E_0$ . The deviations from the linear dependence can be used to study with very good accuracy the influence of corrections due to the nonzero neutrino mass.

So far, the spectrum has been calculated under the assumption that  $m_{\nu}=0$ . With a finite neutrino rest mass, one should expect a change in the shape of the spectrum in the region of maximum values of the energy variable, since the neutrino mass is small ( $m_{\nu} << m_e$ ). In particular, the end point of the  $\beta$ -spectrum  $E_{max}$ , equal to the maximum possible kinetic energy of an electron, will be shifted by the amount of the neutrino rest energy  $E_{max} = E_0 - m_{\nu}c^2$ .

Differences in the transition from the allowed one leads to violation of the linearity of the Curie graph. The beta spectra of forbidden transitions can differ

significantly from the allowed spectra due to the presence of energy-dependent terms in the matrix element. This effect is usually taken into account by introducing an energy-dependent spectral form factor S(E) into the right-hand side of expression (39). For unique first-forbidden transitions (neglecting Coulomb effects), this factor has the form:  $S \sim [(E^2 - m_e c)^2 + (E_0 - E)^2]$ .

Unique transitions of the nth prohibition are usually not characterized by the values  $fT_{1/2}$ , but  $f_nT_{1/2}$ , where  $f_n$  is determined by a formula of the form (33b), and then the form factor  $S_n(E)$  is introduced into the integrand (see also Table 2). The energy spectra of ordinary (not unique) first-forbidden transitions are, as a rule, close to the allowed ones. Matrix elements  $\int \gamma_5$  and  $\int \alpha$  practically do not contain dependence on the lepton energy; for matrix elements  $\int r$ ,  $\int (\sigma r)$  and  $\int [\sigma r]$ , in the case  $\xi >> 1$  the spectral form factor does not depend on energy due to Coulomb effects. An exception is some  $\beta$ -transitions of the 1st forbidden, in which the main energy-independent terms in the matrix element cancel each other out and small corrections depending on the energy begin to play a significant role. This situation is realized, for example, in the case of the  $\beta$ -decay of <sup>210</sup>Bi (Ra E) [2,4].

In many cases, beta decay occurs not into one state of the daughter nucleus, but into two or more states.

In this case, the experimentally observed  $\beta$ -spectrum is composed of two or more partial spectra with different values of the boundary energies. Such  $\beta$ spectra are usually called complex.

Investigation of  $\beta$ -spectra near  $E_0$  allows obtaining information on the neutrino mass mv. In the case  $m_v \neq 0$ , the spectrum of allowed transitions should differ from (39) and should have the form:

$$N(E)dE \sim F(ZE)pE(E_0 - E)[(E_0 - E)^2 - (m_v c^2)^2]^{1/2}.$$
(42)

Hence it follows that the shape of the spectrum near  $E_0$  depends substantially on  $m_{\nu}$ .

The difference between  $m_v$  and 0 can lead to a deviation of the Curie plot in the region  $E_0$  from the linear one. In fact, to determine mv, it is necessary to compare the Curie plot with those calculated at different values of  $m_v$ , depending on K(E).  $\beta$ -spectrum studies  ${}^{3}H$  ( $E_0 = 18661$ keV) gave  $m_v < 35$  eV/s<sup>2</sup>. Results obtained with emission of the  $\beta$ -spectrum  ${}^{3}H$ : 14 eV<  $m_v < 46$  eV need further confirmation.

The current state of this problem has been described in Refs. [2,3].

#### **1.3 Theoretical method. Relativistic Many-body Perturbation Theory**

## **1.3.1** Determination of the probability of beta decay. Allowed and overallowed transitions

As is known [4], the perturbation theory method is usually used in calculating the probability of  $\beta$ -decay, since the corresponding interaction constant gis characterized by significant smallness. For this well-known reason, in practice, the calculations are limited to taking into account only first-order terms corresponding to direct transitions from the initial state to the final state. The probability of a system transition from an initial state  $|\xi\rangle$  with energy  $E_{\xi}$  to a certain final state  $\langle f \rangle$  with energy  $E_f$  per unit time under the condition  $E_0 = E_f - E_{\xi}$  is determined by the well-known expression:

$$dW_{\xi f} = \frac{2\pi}{\hbar} \left| \langle f \mid H \mid \xi \rangle \right|^2 \frac{d\tilde{N}}{dE} \bigg|_{E=E_0}, \qquad (43)$$

where, naturally, the matrix element is determined by the form of the interaction Hamiltonian H<sub> $\beta$ </sub> and the wave functions of the initial  $\psi_{\xi}$  and final  $\psi_{f}$  states of the nucleus:

$$< f \mid H \mid \xi >= \int \psi_f H_\beta \psi_\xi d^3 r_1 \dots d^3 r_A \,. \tag{44}$$

The determination of the square of the matrix element is reduced to integration over the volume of the kernel and averaging over all unobservable variables.

The quantity  $\left. \frac{d\tilde{N}}{dE} \right|_{E=E_0}$  determines the density of the final states of the system per

unit of energy. The expression for the number of  $\beta^-$ ,  $\tilde{\nu}$  -particles with energies in the range from *E* to *E* + *dE*:

$$dW_{\xi f} = \frac{1}{2\pi^{3}\hbar^{7}c^{5}} \Big| \langle f | H_{\beta} | \xi \rangle \Big|^{2} \sqrt{E_{e}^{2} - m^{2}c^{4}} E_{e} (E_{0} - E_{e})^{2} dE_{e}, \quad (45a)$$

$$dW_{\xi f} = \frac{1}{2\pi^{3}\hbar^{7}c^{5}} \Big| \langle f | H_{\beta} | \xi \rangle \Big|^{2} \sqrt{\left(E_{0} - E_{\tilde{\nu}}\right)^{2} - m^{2}c^{4}} \left(E_{0} - E_{\tilde{\nu}}\right)E_{\tilde{\nu}}^{2}dE_{\tilde{\nu}}.$$
 (45b)

In what follows, we restrict ourselves to considering allowed and overallowed transitions. It is generally known that allowed transitions make the most significant contribution to the total spectrum of  $\beta$ -decay of a nucleus, while the contribution of forbidden transitions usually amounts to only a few percent of the total intensity. The specific contribution of these and other transitions to the  $\beta$ -decay probability is usually described using in the expression for the Hamiltonian of the interaction and the  $\beta$ -decay probability of the expansion of the lepton current in a series in terms of small parameters characteristic of  $\beta$ -decay (see [2-3]). Where the zero term of such an expansion describes the most intense allowed  $\beta$ -transitions, and the next terms of the expansion correspond to forbidden transitions of various degrees of forbiddenness.

Let us consider further the allowed transitions in more detail. The energy distribution of  $\beta$ -particles in this case has a standard form:

$$dW_{\beta}(E)/dE = \frac{1}{2\pi^{3}}G_{F}^{2} \cdot F(E,Z) \cdot E \cdot p \cdot (E_{0} - E)^{2} \cdot |M|^{2}.$$
 (46)  
$$E_{0} = 1 + (E_{2p}/m_{e}c^{2}),$$

Here  $G_F$  – is the weak interaction constant; E,  $p=(E^2-1)^{\frac{1}{2}}$  – total energy and momentum of a  $\beta$ -particle;  $(E_{2p} - \beta$ -spectrum boundary energy); |M| – energy-independent matrix element for allowed  $\beta$ -transitions. F – the well-known Fermi function, which is equal by definition:

$$F(E,Z) = \frac{1}{2p^2} \left(g_{-1}^2 + f_{+1}^2\right), \qquad (47)$$

where are the icons  $\pm 1 = \kappa$ ,  $\kappa = (l-j)/(2j+1)$ .

In (47), functions  $f_{+1}$  and  $g_{-1}$ -relativistic electron radial wave functions appear, which are calculated at the boundary of a spherical nucleus with radius  $R_0$  (see, for example, [2,4]) or the values of these functions at zero (amplitudes of the expansion of functions in a series at zero), as done in [3,12,13). In our calcu-

lations, we use the latter option everywhere. The corresponding integral Fermi function f is given by the definition:

$$f(E_0, Z) = \int_{1}^{E_0} F(E, Z) \cdot E \cdot p \cdot (E_0 - E)^2 dE.$$
(48)

The half-life of beta decay in this notation is:

$$T_{\frac{1}{2}} = 2\pi^3 \ln 2 / [G^2 / M / f(E_0, Z)].$$
(49)

An important point of the theory is the correct normalization of the relativistic electron radial functions  $f_{\kappa}$  and  $g_{\kappa}$ , at which, for large values of the radial variable

$$g_{\iota}(r) \rightarrow r^{-1}[(E+1)/E]^{1/2} \sin(pr+\delta_{\iota}), \qquad (50a)$$

$$f_{l}(r) \rightarrow r^{-l}(t/|t|) [(E-1)/E]^{1/2} \cos{(pr+\delta_{l})}.$$
 (50b)

A detailed description of the methodology for calculating the electronic and nucleon wave functions within the framework of the formalism of the relativistic nuclear and QED TV is given in reviews [21-46] (see also [47-61]). Here, we note that the numerical solution of all equations, as well as the entire calculation of the characteristics of  $\beta$ -decay and atomic corrections were performed on the basis of a modified numerical complex "Superatom-M". The functions of the continuous spectrum were found iteratively in the field of the daughter atom. The condition for the self-consistency of the functions of the continuous spectrum is reduced to the fact that the normalized functions at two adjacent iterations differ by less than 10<sup>-5</sup> in relation to their values at the maximum point of the function. For different energies, to achieve the required accuracy, it was required from 3 (at higher energy) to 11 (at low energy) iterations.

When calculating the normalizing factor, the procedure of averaging over the oscillation period of the continuous spectrum function was used (the matching condition included the difference between the values of the averaged normalizing factors at two adjacent periods of no more than 0,025%).

To achieve the required accuracy, the Dirac equations were integrated (on a semilogarithmic scale) to the distances from the core, at which the continuum function passes 6-8 periods. As usual, when calculating the integrals of strongly

oscillating functions, the damping factor exp (-dr) was introduced, the value of the parameter d in which was chosen based on the accuracy requirement at a level of  $\sim 0.005\%$ .

## **1.3.2** Combined Nuclear and Relativistic Many-body Perturbation Theory

Here we present a brief description of the key moments of our approach (more details can be found in refs. [21-60]). Fundamental spacts of accouting for the QED radiative corrections and physical nature of these ones is described in Refs. [61-85]. Within our approach, the electron wave functions zeroth basis is found from the generalized Dirac-Koihn-Sham equation solution with a mean-field self-consistent potential:

$$V_{MF} = V^{DKS}(r) = [V_{Coul}^{D}(r) + V_{X}(r) + V_{C}(r \mid b)]$$
(51)

Here  $_{V_{coul}^{D}(r)}$  is the standard Coulomb-like potential,  $_{V_{c}(r|b)}$  is a correlation potential (the known Lundqvist-Gunnarsson-like definition for  $_{V_{c}(r|b)}$  with ab intio optimization parameter *b* is used; for details, see below and Refs. [10,48-52]) and  $_{V_{x}(r)}$  is an exchange potential [2].

The known Kohn-Sham definition for  $V_{X}(r)$  is as follows (in atomic units):

$$V_{X}[\rho(r), r] = V_{X}^{KS}(r) \cdot \{\frac{3}{2} \ln \frac{[\beta + (\beta^{2} + 1)^{1/2}]}{\beta(\beta^{2} + 1)^{1/2}} - \frac{1}{2}\},$$
(52)

where

$$\beta = [3\pi^2 \rho(r)]^{1/3} / c \,. \tag{53}$$

In order to describe a nuclear subsystem we use the known relativistic meanfield model [2,3]. In concrete calculation the most preferable version of this model is so called NL3-NLC version (c.g., Ref. [2,3,16,62]).

The total relativistic Dirac Hamiltonian for a multielectron system has the following form [2,10]:

$$H = \sum_{i} \{ \alpha c p_{i} - \beta c^{2} - Z / r_{i} \} + \sum_{i > j} \exp(i | \omega | r_{ij}) (1 - \alpha_{i} \alpha_{j}) / r_{ij} , \quad (54)$$

where  $\alpha_i$ ,  $\alpha_j$  are the Dirac matrices,  $\omega_{ij}$  is the transition frequency. It should be noted that the magnetic interaction in the lowest order on parameter of the fine structure constant  $\alpha^2$  ( $\alpha$  is the fine structure constant) as well as the retarding effect are taken into account in the relativistic interelectron interaction potential.

As it is indicated earlier, all correlation corrections of the second order and dominated classes of the higher orders diagrams are taken into account within a formalism of many-body perturbation theory [2,3,10].

The principal important piont of a total approach is in using a generalized relativistic energy approach to construction of an optimized basis set of electron wave functions. According to Glushkov-Ivanov-Ivanova method [48,49,52,53] optimization of electron wave function set and gauge invariance performance can be reached by means of the minimization of contribution into imaginary part of radiation width Im  $\delta E$  for the multi-electron system due to the QED perturbation theory fourth order Feynman diagrams ones. The detailes of a whole procedure can be found in Refs. [2,3,10,48,49,52,53].

The next very important aspect of a whole procedure is an accurate consideration of the QED or radiation corrections. There are developed a few accurate methods of accounting fior the QED corrections. In our approach we use the generalized procedures, described in detail in Refs. [2,10,50,63,67].

In order to account for a vacuum polarization effect, the generalized Uehling-Serber potential approach is used and modified to account for the high-order radiative corrections according to the procedure [2,10]. It can be represented in the following form:

$$U(r) = -\frac{2\alpha}{3\pi r} \int_{1}^{\infty} dt \exp(-2rt/\alpha Z) \left(1 + 1/2t^2\right) \frac{\sqrt{t^2 - 1}}{t^2} = -\frac{2\alpha}{3\pi r} C(g), \quad (55)$$

where  $g = r/(\alpha Z)$ .

A more correct and consistent approach is presented in Refs. [2,10]. Taking into account the nuclear finite size effect modifies the potential (55) as follows:

$$U^{FS}(r) = -\frac{2\alpha^2}{3\pi} \int d^3r' \int_{1}^{\infty} dt \exp\left(-2t|r-r'|/\alpha Z\right) \times \left(1 + \frac{1}{2t^2}\right) \frac{\sqrt{t^2 - 1}}{t^2} \frac{\rho(r')}{|r-r'|}, \quad (56)$$

Other details of the general method and PC code are described in Refs. [2,10,21-45]. All calculations are performed with using the numeral codes SuperAtom (Nucleus) (modified versions 93) [21-61,85-108].

### 1.4 Results

Characterization of a number of allowed beta transitions and the results of calculating the characteristics of beta decay. The following beta decays were selected as objects of study, the results of which will be presented below (their characteristics are given in Table 3):  ${}^{33}P \rightarrow {}^{33}S$ ,  ${}^{35}S \rightarrow {}^{35}Cl$ ,  ${}^{45}Ca \rightarrow {}^{45}Sc$ ,  ${}^{63}Ni \rightarrow {}^{63}Cu$ ,  ${}^{106}Ru \rightarrow {}^{106}Rh$ ,  ${}^{155}Eu \rightarrow {}^{155}Gd$ ,  ${}^{241}Pu \rightarrow {}^{241}Am$ .

Most of the considered beta decays belong to the number of transitions with a low boundary energy and correspond to different ranges of values of the atomic nucleus charge Z (see Table 1). Almost all transitions, the characteristics of which are given in Table 1, are allowed (as well as super-allowed). The choice of such transitions, naturally, is determined by the important circumstance that for such transitions the formulas for the decay probability are exact.

Of course, for forbidden beta transitions, the theory naturally becomes more complicated. The corresponding formulas are more complicated than in the case of allowed transitions and should generally contain six nuclear matrix elements. A detailed presentation of the theoretical aspects of their calculation is given, for example, in [2,4].

In the so-called  $\xi$ -approximation known in the theory of beta decay, where the parameter  $\xi$  is introduced, determined by the expression:  $\xi = \alpha Z / 2R_0 >> 1$ , (Z – the nuclear charge,  $R_0$  – the radius) usually neglect small terms, and the remaining sum of nuclear matrix elements does not give an additional dependence on the lepton energy and turns out to be analogous to the allowed case. Moreover, it is written as a constant factor  $|\mathbf{M}|^2$ .

Recall that if the condition  $\xi$ -approximation  $\xi >>1$  is satisfied, the Coulomb effects lead to an increase in the wave function of the electron inside the atomic nucleus, as a result of which these matrix elements are of the order of smallnes  $\sim Z/137$ , and no  $pR|\hbar$ . At the same time, it is well known that the sought condition  $\xi >> 1$  turns out to be satisfied for most  $\beta$ -transitions.

Returning to the transition  ${}^{241}$ Pu $\rightarrow {}^{241}$ Am, it should be noted that this transition is not a unique one of the first ban. The parameter  $\xi$  for the decay of pluto-

nium is  $\xi = 18$  (i.e.  $\xi >> 1$ ). It is well known that for the overwhelming majority of such first-forbidden transitions the formulas for the decay probability are applicable with a sufficiently high degree of accuracy.

		$I_i^{\pi_i} \to I_f^{\pi_f}$				
Decay	$Z_{\rm mat} \rightarrow$		Type	$E_0$ ,	$T_{1/2}$	lg ft
	Zdaught			keV		
$^{33}P \rightarrow ^{33}S$	15→16	$1/2^+ \rightarrow 3/2^+$	Allowed	249	25,3	5,0
<sup>35</sup> S→ <sup>35</sup> Cl	16→17	$3/2^{+} \rightarrow 3/2^{+}$	«»	167,4	days	5,0
<sup>45</sup> Ca→ <sup>45</sup> Sc	20→21	$7/2^{-} \rightarrow 7/2^{-}$	Above	257	87,4	6,0
<sup>63</sup> Ni→ <sup>63</sup> Cu	28→29	$1/2^{-} \rightarrow 3/2^{-}$	«»	65,8	days	6,6
$^{106}$ Ru $\rightarrow$ $^{106}$ Rh	44→45	$0^+ \rightarrow 1^+$	«»	39,4	165	4,3
$^{155}\text{Eu} \rightarrow ^{155}\text{Gd}$	63→64	$5/2^{+} \rightarrow 3/2^{+}$	«»	140,7	days	7,4
$^{241}$ Pu $\rightarrow$ $^{241}$ Am	94→95	$5/2^{+} \rightarrow 3/2^{-}$	First ban	20,8	100	5,8
					days	
					367	
					days	
					4,9	
					years	
					14,4	
					years	

Table 3 – Characteristics of a number of allowed  $\beta$ -transitions lg ft

In [3], the results of a test calculation of the probabilities and half-lives  $T_{1/2}$  of a number of super-resolved beta transitions, in particular,  ${}^{34}\text{Cl} \rightarrow {}^{34}\text{S}$ ,  ${}^{42}\text{Sc} \rightarrow {}^{42}\text{Ca}$ . Recall that for superallowed  $\beta^{\pm}$ -transitions  $\int 1$  can be calculated exactly  $\int 1 = [(T \pm T_3) \cdot (T \pm T_3 + 1)]^{1/2}$ , where  $T_3$  – isospin projection for the initial nucleus, numerically equal to  $\frac{1}{2} (Z - N)$ .

If the  $\beta$ -transition occurs between pure isospin states, then taking into account the meson exchange currents (as a rule, contributing to a few percent) does not change this result, which is due to the conservation of isospin.

In the case of super-allowed transitions  $0^+ \rightarrow 0^+$  between neighboring terms of the isomultiplet  $\int \sigma = 0$  and, at T=1,  $\int 1 = \sqrt{2}$ . For such super-allowed transitions, the *f*  $T_{1/2}$  values are almost the same.

The performed calculation (the well-known Gauss model was used to determine the charge distribution in the nucleus) gave the following values of the half-lives for the transitions  ${}^{34}\text{Cl} \rightarrow {}^{34}\text{S}$  (1,55 s),  ${}^{42}\text{Sc} \rightarrow {}^{42}\text{Ca}$  (0,67 s). The sought data are in good agreement with the experimental values (respectively: 1,565±0,007 s; 0,683±0,002 s). For comparison, we present similar calculation data in the framework of the standard model of the Dirac-Fock atom (1,52; 0,64), as well as in the framework of the Hartree-Fock-Slater method (1,4; 0,6) [12,13]. Thus, in the approach proposed by us, it is more correct to take into account exchange-correlation and other effects. As you can see, the accuracy of calculations within the framework of the standard and optimized DF method is quite acceptable. It seems important to study in more detail the influence of the choice of the atomic field on the values of the Fermi function.

<u>Results of computing an effect of an atomic field type choice on the beta</u> <u>decay characteristics.</u> Further we present the results of evaluating the influence on the Fermi function of the choice of the type of atomic field, which is determined in different ways in different calculation methods. Note that in a number of works (see, for example, Refs. [2-13]) various methods were used to calculate the characteristics of beta decays, in particular, the method of the self-consistent nonrelativistic atomic field of the HFS, the method of the relativistic selfconsistent field of the HFS (taking into account relativistic corrections in the Breit-Pauli approximation), the classical and improved versions of the Dirac-Fock method (ODF).

In order to compare different approaches, the calculation of the Fermi function F(E,Z) was carried out under conditions similar to [12,13], namely, in all cases the values of the functions on the boundary of the kernel R<sub>0</sub> =1,202 A<sup>1/3</sup> fm with the same A. The corresponding numerical results of the influence of the choice of the field (HFS<sub>rel</sub>, HFS<sub>nonrel</sub>, N-QED) on the Fermi function F(E,Z) for various beta decays are given in Tables 4, 5. The parameters were calculated as test values:

$$\Delta_1 = \{ [F_{HFSrel} (E,Z) / F_{HFSnonrel} (E,Z)] - 1 \} \cdot 100\%,$$
(57a)

$$\Delta_2 = \{ [F_{ODFrel} (E,Z) / F_{HFSnonrel} (E,Z)] - 1 \} \cdot 100\%,$$
(57b)

where  $F_{HFSrel}$  (E,Z) – Fermi function in atomic field HFS<sub>rel</sub>;  $F_{HFSnonrel}$  (E,Z) – Fermi function in atomic field HFS<sub>nonrel</sub>;  $F_{ODFrel}$  (E,Z) – Fermi function in atomic field N-QED. Note also that in all three calculations, the effect of the finite size of the nucleus was taken into account within the framework of the model of a uniformly charged ball. As the calculation has shown, the use of the alternative Gauss model has practically no effect on the results obtained, although it is more convenient computationally.

$E_{\rm kin}$ , keV	Z=20	Z=80	Z=95
10	-0,05	-0,34	-0,56
50	-0,03	-0.34	-0,55
100	+0,01	-0,34	-0,45
500	+0,08	-0,30	-0,40

Table 4 - Effect on the Fermi function F(E,Z) for  $\beta$ -decay choice fields (HFS<sub>rel</sub>, HFS<sub>nonrel</sub>, ODF): values  $\Delta_1$  (%)

Note. Here  $\Delta_1 = \{ [F_{HFSrel} (E,Z) / F_{HFSnonrel} (E,Z) ] - 1 \}$  100%, where  $F_{HFSrel} (E,Z) -$  Fermi function in atomic field HFS<sub>rel</sub> [2,12];  $F_{HFSnonrel} (E,Z) -$  Fermi function in atomic field HFS<sub>nonrel</sub> [2,3,12,13,18].

Table 5 - Effect on the Fermi function F(E,Z) for  $\beta$ -decay choice fields (HFS<sub>rel</sub>, HFS<sub>nonrel</sub>, ODF): values  $\Delta_2$  (%)

$E_{\rm kin}$ , keV	Z=20	Z=44	Z=63	Z=80	Z=95
10	-0,08	-0,10	-0,24	-0,56	-0,79
50	-0,06	-0,08	-0,23	-0.55	-0,77
100	+0,04	-0,07	-0,18	-0,54	-0,68
500	+0,13	-0,06	-0,14	-0,51	-0,61

Note. Here  $\Delta_2 = \{ [F_{ODFrel} (E,Z) / F_{HFSnonrel} (E,Z)] - 1 \}^{+} 100\%$ , where  $F_{HFSnonrel} (E,Z) - Fermi function in atomic field HFS_{nonrel}; F_{ODFrel} (E,Z) - Fermi function in atomic field N-QED [2,12,13].$ 

Analysis of the data obtained shows that for small and medium values of the nucleus of the nucleus, in particular, Z = 20, the difference between the data obtained on the basis of the relativistic HFS and ODF methods turns out to be insignificant, amounting to hundredths of a percent. At large values of Z (up to Z = 95, calculations in the HFS<sub>rel</sub> field gave a 0.5% lower value for F(E,Z), and in the ODF field by 0,8%, in comparison with the nonrelativistic HFS<sub>nonrel</sub> values. The reason for this difference is obviously related to the well-known effect of

relativistic compression of orbitals. The wave function of the continuous spectrum (continuum) is more screened from the charge of the atomic nucleus by the relativistic field of atomic electrons than by the nonrelativistic one, and the more accurately relativistic effects are taken into account, the greater the effect.

<u>Results of computing the Fermi function of a  $\beta^-$ -decay with different definitions</u> of this function. Further, the difference in the values of the Fermi function F(E,Z) for the  $\beta^-$ -decay is numerically estimated when choosing different definitions for the desired quantity. As indicated above, the Fermi function F(E,Z) was calculated by us both at the nuclear boundary and near zero. In the first case, the Fermi function F(E,Z) was calculated using the values of the radial electron wave functions  $f_{+1}^2(R_0) + g_{-1}^2(R_0) -$  at the boundary of the nucleus (uniformly charged spherical nucleus), in the second, the Fermi function was calculated using the squared amplitudes of the expansion ( $N_{\kappa=+1}^2 + N_{\kappa=-1}^2$ ) radial electron wave functions  $f_{+1}^2(0) + g_{-1}^2(0)$  at  $r \rightarrow 0$  [2,12,13]. A convenient value characterizing the desired difference is the parameter:

$$\Delta_3 = \{ [F(E, Z, R = 0)) / F(E, Z, R = R_0] - 1 \} \cdot 100\%,$$
(58)

where  $F(E,Z,R=R_0)$  – Fermi function value calculated with values of radial electron wave functions at the nucleus boundary; F(E,Z,R=0) – the value of the Fermi function calculated using the amplitudes of the expansion of the radial wave functions near zero.

The results of calculating the differences in the values of the Fermi function F(E,Z) for  $\beta$ -decay when choosing two different definitions of this quantity are given in the Table 6. The results of our calculation within the framework of the ODF method are presented, as well as for comparison for a number of values of the kinetic energy the data of estimates within the framework of the relativistic HFS (e.g.[12,13]). Analysis of the results shows that with an increase in the atomic number Z, the difference in the values of the Fermi function determined by different methods sharply increases. The change in the integral Fermi function  $f(E_0,Z)$  turns out to be similar. In particular, the calculation showed that the function f increases for decays <sup>33</sup>P $\rightarrow$ <sup>33</sup>S ( $E_0 = 249$  keV), <sup>35</sup>S $\rightarrow$ <sup>35</sup>Cl ( $E_0=167$  keV) by 2-4%, <sup>63</sup>Ni $\rightarrow$ <sup>63</sup>Cu ( $E_0=65.8$  keV) – 5%, <sup>155</sup>Eu $\rightarrow$ <sup>155</sup>Gd ( $E_0=140.7$  keV) – 12%, <sup>241</sup>Pu $\rightarrow$ <sup>241</sup>Am ( $E_0=20.8$  keV) – 32% (when passing from the definition of F(E,Z) by functions at

Table 6 - The difference in the Fermi function F(E,Z) for  $\beta$ -decay when choosing different definitions for this quantity:  $\Delta_3 = \{[F(E,Z,R=0)) / F(E,Z,R=R_0] \}$ 

1} 100%, where  $F(E,Z,R=R_0)$  calculated with the values of radial electron wave functions at the nucleus boundary, and F(E,Z,R=0) – using the amplitudes of the expansion of the radial wave functions near zero ( $R_0 = 1,2$  A<sup>1/3</sup> fm); HFS – work data [12,13]; N-QED – calculation data within the framework of the N-QED

				$\Delta_{3,}$ %		
$E_{kin}$ ,	Z=	20	Z=44	Z=63	Z=80	Z=95
keV	HFS	5 N-				HFS N-
	QI	ED				QED
0,1	1,35	1,39	5,44	12,72	23,25	33,9
1,0	1,37	1,42	5,53	12,84	23,36	36,8
50	1,38	1,45	5,58	12,95	23,58	34,1
500	1,50	1,58	5,84	13,10	24,61	37,2
						34,2
						37,6
						35,5
						39,88

theory (e.g.[2,3,5,12,13,18])

the boundary of the kernel to the definition of F(E,Z), calculated from the amplitudes at zero).

Note that in the literature there have been various points of view on the correctness and acceptability of one or another approach to the definition of the Fermi function.

In our opinion (see also [2,3,5,12]), the determination of the Fermi function using the amplitudes of the expansion of wave functions near zero is more justified and rational. As indicated in [2,12,13,18], an additional factor in favor of this statement is the fact that, based on the amplitudes of the expansion of the electronic wave functions at zero, one usually calculates, for example, the electronic factor of the EO conversion  $\Omega(EO)$ , corrections to the internal conversion coefficients to take into account anomalies etc.

Let us now consider the question of the region of formation of the integral Fermi function  $f(E_0, Z)$ . A convenient parameter for this estimate is the quantity used in a number of works (see, for example, [2,12]):

$$y = \int_{0}^{x} F(E,Z) Ep (E_0 - E)^2 dE / \int_{0}^{E_0} F(E,Z) Ep (E_0 - E)^2 dE.$$
 (59)

Table 7 shows our calculated data on the formation region of the integral Fermi function  $f(E_0, Z)$  for a series of  $\beta$ -decays, in particular, decays: <sup>241</sup>Pu $\rightarrow$ <sup>241</sup>Am, <sup>106</sup>Ru $\rightarrow$ <sup>106</sup>Rh, <sup>63</sup>Ni $\rightarrow$ <sup>63</sup>Cu, <sup>155</sup>Eu $\rightarrow$ <sup>155</sup>Gd, <sup>35</sup>S $\rightarrow$ <sup>35</sup>Cl, <sup>33</sup>P $\rightarrow$ <sup>33</sup>S, <sup>45</sup>Ca $\rightarrow$ <sup>45</sup>Sc.

Analysis of the data obtained (Table 7) shows that for energy values from  $x=0,7E_0$  and further to  $x=0,9E_0$ , 100% of the integral for the function  $f(E_0,Z)$ . At an energy value  $x = 0,5E_0$ , about ~ 80% of the integral for the function  $f(E_0,Z)$ .

As a result, it turns out that the corrections, which are significant for small values of the energy of the emitted  $\beta$ -particle, affect the integral Fermi function. Next, we will study the question of the quantitative characteristic of taking into account the exchange-correlation effects in the wave functions of the discrete and continuous parameters of the Fermi functions.

			y, %	6	
$E_0$ ,	$\beta$ -decay	$x/E_0$	0,5	0,7	0,9
keV		=0,3			
20,8	$^{241}$ Pu $\rightarrow$ $^{241}$ Am	67	89	99	100
39,4	$^{106}$ Ru $\rightarrow$ $^{106}$ Rh	66	88	98	100
65,8	<sup>63</sup> Ni→ <sup>63</sup> Cu	65	87	97	100
140,7	$^{155}\text{Eu} \rightarrow ^{155}\text{Gd}$	63	84	96	100
167,4	<sup>35</sup> S→ <sup>35</sup> Cl	58	81	95	100
249	$^{33}P \rightarrow ^{33}S$	53	78	93	100
257	<sup>45</sup> Ca→ <sup>45</sup> Sc	52	77	91	100

Table 7 – Formation region of the integral Fermi function  $f(E_0, Z)$  for  $\beta$ -decay (our data)

Further consider an effect of accounting the exchange-correlation effects in wave functions on the values of the integral Fermi function. The nuclear QED approach developed by us allows for a full account of exchange effects, as well as correlation effects.

In this subsection, we quantitatively study the influence of taking into account the sought effects in the electronic wave functions on the values of the Fermi function and the integral Fermi function. It should be noted that the issue of accounting for exchange was considered earlier in the literature (see, e.g., [2,3,5,12,13,18]).

The study of the contribution of correlation effects is considered here for the first time.

Table 8 shows the results of calculating the contribution of the value of complete accounting for exchange in the electronic wave functions of discrete and continuous spectra to the values of the integral Fermi function  $f(E_0, Z)$ ; on the basis of various approaches [2,3,5,12,13,18], transitions are considered: <sup>35</sup>S $\rightarrow$ <sup>35</sup>Cl, <sup>63</sup>Ni $\rightarrow$ <sup>63</sup>Cu, <sup>33</sup>P $\rightarrow$ <sup>33</sup>S, <sup>106</sup>Ru $\rightarrow$ <sup>106</sup>Rh, <sup>155</sup>Eu $\rightarrow$ <sup>155</sup>Gd, <sup>241</sup>Pu $\rightarrow$ <sup>241</sup>Am. As a convenient parameter that determines the desired contribution, we took the quantity:

$$\Delta_4 = \{ [f(E_0, Z)ODF / f(E_0, Z] HFS_{rel}) ] -1 \} \cdot 100\%,$$
(60a)

$$\Delta_5 = \{ [f(E_0, Z)DF / f(E_0, Z] HFS_{rel}) ] - 1 \} \cdot 100\%,$$
(60b)

where  $f(E_0, Z)ODF$  – integral Fermi function calculated in the N-QED approximation with full allowance for exchange-correlation effects;  $f(E_0, Z)DF$  – integral Fermi function calculated in the DF approximation with full allowance for exchange effects;  $f(E_0, Z]HFS_{rel})$  – integral Fermi function calculated in the  $HFS_{rel}$ approximation with incomplete account of exchange effects. As the DF of the used results of our calculation, taking into account the exchange-correlation effects ( $\Delta_4$ ), and also for comparison of the classical DF-calculation of the Band-Listengarten-Trzhaskov ( $\Delta_5$ ) taking into account exchange effects [12,13]).

As can be seen from the data obtained, with an increase in the completeness of accounting for exchange (and further exchange-correlation) effects in the wave functions of the discrete and continuous spectrum, the correction to the energy increases with a decrease in the boundary energy. The relative change in the integral Fermi function, for example, for the  ${}^{241}Pu \rightarrow {}^{241}Am$  transition is 7,6%.

Further consider an effect of accounting the exchange-correlation effects in wave functions on the values of the Fermi function. Let us note that the question of the influence of taking into account exchange-correlation effects in the wave functions of the discrete and continuous spectrum on the values of the Fermi function is of a great importance. Table 8 – Contribution of the value of the complete account of exchange in the electronic wave functions of discrete and continuous spectra to the values of the integral Fermi function  $f(E_0, Z)$  for some transitions

Decay	$E_0$ , keV	$f(E_0,Z)$	$f(E_0,Z)$	$f(E_0,Z)$	$\Delta_{4,}$	Δ
		ODF	DF	HFS <sub>rel</sub>	%	5,
						%
<sup>35</sup> S→ <sup>35</sup> Cl	167,4	1,3461 ·10 <sup>-2</sup>	1,3556 ·10 <sup>-2</sup>	1,3682.10-2	-1,6	-0,9
$^{106}$ Ru $\rightarrow^{106}$ Rh	39,4	6,2375·10 <sup>-4</sup>	6,4304·10 <sup>-4</sup>	6,6304.10-4	-5,9	-3,0
$^{155}\text{Eu} \rightarrow ^{155}\text{Gd}$	140,7	8,6124·10 <sup>-2</sup>	8,7025·10 <sup>-2</sup>	8,8817.10-2	-3,0	-2,0
$^{41}$ Pu $\rightarrow^{241}$ Am	20,8	1,5896·10 <sup>-3</sup>	1,6424·10 <sup>-3</sup>	1,7208·10 <sup>-3</sup>	-7,6	-4,6

Note: Here  $\Delta_4 = \{[f(E_0, Z)ODF / f(E_0, Z] HFS_{rel})] - 1\} \cdot 100\%$ , where  $f(E_0, Z]HFS_{rel})$ integral Fermi function calculated in the  $HFS_{rel}$  approximation with incomplete account of exchange effects;  $\Delta_5 = \{[f(E_0, Z)DF / f(E_0, Z] HFS_{rel})] - 1\} \cdot 100\%$ , where  $f(E_0, Z)DF$  – integral Fermi function calculated in the DF approximation with full account of exchange (exchange-correlation) effects.

Table 9, 10, 11 shows the data of our calculation of the values of the Fermi function F(E,Z) for decays: <sup>106</sup>Ru $\rightarrow$ <sup>106</sup>Rh, <sup>63</sup>Ni $\rightarrow$ <sup>63</sup>Cu, <sup>241</sup>Pu $\rightarrow$ <sup>241</sup>Am. For comparison, the same table also shows some values of the function F(E,Z), calculated by the HFS<sub>rel</sub> method, by the DF method, as well as in the Coulomb field approximation, taking into account the finite dimensions of the nucleus (data taken from [2,3,5,12,13,18]).

As characteristic parameters determining the contribution of the sought effects, it is convenient to operate with the quantities:

$$\Delta_6 = \{ [F(E,Z)QED / F(E,Z]HFS_{rel})] - 1 \} \cdot 100\%,$$
(61a)

$$\Delta_7 = \{ [F(E,Z)DF_{exc} / F(E,Z]HFS_{rel})] - 1 \} \cdot 100\%,$$
(61b)

$$\Delta_8 = \{ [F(E,Z)HFS_{rel}) / F(E,Z)_{Coulomb} ) - 1 \} \cdot 100\%,$$
(61c)

Table 9 - The functions F(E,Z) and the influence on it of the complete accounting for exchange (correlation) in the wave functions of the DF of discrete and continuous spectra (transition:  ${}^{106}\text{Ru} \rightarrow {}^{106}\text{Rh}$ )

E <sub>β</sub> -kin, keV	Z=45	$F(E,Z)^{106}$ Ru $\rightarrow^{106}$ Rh; $E_0 = 39,4$ keV			- 1 <sub>6,</sub> %	- 1 <sub>7,</sub> %	- ⊿ <sub>8,</sub> %
	QED <sub>exc</sub>	DF <sub>exc</sub>	HFS <sub>rel</sub>	Coulomb			
0,5140	84,0896	86,3579	93,6620	95,3163	10,2	7,8	1,7
2,6582	38,7468	39,6767	41,2162	42,0030	6,0	3,7	1,9
6,3456	25,6138	26,1625	26,8605	27,3434	4,6	2,6	1,8
16,767	16,0979	16,3667	16,6530	16,9466	3,3	1,7	1,7
28,233	12,6722	12,7921 12,9745 13,2067		2,3	1,4	1,8	
39,314	10,8742	10,9863	11,1218	11,3237	2,2	1,2	1,8

Table 10 – The Fermi function F(E,Z) and the effect on it of a complete account of the exchange (correlation) in the wave functions of the DF of discrete and continuous spectra (transition: <sup>63</sup>Ni $\rightarrow$ <sup>63</sup>Cu)

$E_{\beta}^{-}$ kin, keV	$F(E,Z) \stackrel{63}{\to} Ni \rightarrow \stackrel{63}{\to} Cu; Z=29; E_0 = 65,8 \text{ keV}$			⊿ <sub>6,</sub> %	⊿ <sub>8,</sub> %
	QED <sub>exc</sub>	HFS <sub>rel</sub>	Coulomb		
0,85858	29,3482	31,5491	31,8710	-7,0	-1,0
4,4394	13,4120	13,9167	14,0385	-3,6	-0,9
10,547	8,8125	9,0867	9,1751	-3,0	-1,0
28,002	5,6139	5,7411	5,8094	-2,2	-1,2
47,159	4,5391	4,6076	4,6644	-1,5	-1,2
65,657	4,0197	4,0652	4,1132	-1,1	-1,2

where  $F(E_0,Z)QED_{exc}$  – Fermi function calculated in the optimized approximation N-QED with full account of exchange-correlation effects;  $F(E_0,Z)DF_{exc}$  – Fermi function calculated in the DF approximation with full allowance for exchange effects;  $F(E_0,Z]HFS_{rel}$  – Fermi function calculated in the HFS<sub>rel</sub>

approximation with incomplete account of exchange effects;  $F(E,Z)_{Coulomb}$  – Fermi function (Coulomb approximation).

As can be seen from the data obtained (see Tables 9-11), the correction associated with taking into account the exchange-correlation effects in the electronic wave functions of the discrete and continuous spectra at low energies significantly exceeds the correction for screening (with respect to the Coulomb field), which is found using the HFS<sub>rel</sub> method, however, with increasing energy, the screening correction is gradually compared with the exchange contribution.

It is easy to understand that the construction of the Curie plot according to our calculated data F(E,Z), as well as according to the data of the standard DF calculation (e.g. [2,3,5,12,13,18], in comparison with similar data based on the HFS<sub>rel</sub> method, in the region of low energy values will have excess over a straight line drawn through points with higher energy.

Table 11 – Fermi function F(E,Z) and the influence on it of the complete account of exchange (correlation) in the wave functions of the DF of discrete and continuous spectra (transition: <sup>241</sup>Pu $\rightarrow$ <sup>241</sup>Am)

	Z=95	F(E,Z)	$^{241}$ Pu $\rightarrow^{241}$ A	Am; $E_0 =$			
$E_{\beta}^{-}$			20,8 keV		$\varDelta_{6,}$	<i>∆</i> 7,	$\varDelta_{8,}$ %
kin,					%	%	
keV	QED <sub>exc</sub>	DF <sub>exc</sub>	HFS <sub>rel</sub>	Coulomb	1		
0,2713	2014,27	2075,86	2316,49	2431,60	-	-	-4,7
7	944,400	961,517	1018,29	1069,57	13,0	10,4	-4,8
1,4033	621,735	634,238	661,040	694,165	-7,3	-5,6	-4,0
3,3341	391,342	394,909	406,591	426,528	-5,9	-4,1	-4,7
8,8517	303,169	306,220	313,858	329,084	-3,8	-2,9	-4,6
14,907	259,003	260,587	266,528	279,230	-3,4	-2,4	-4,5
20,755					-2,8	-2,2	

Then, such an excess can simulate a massive neutrino with a nonzero mass in the amount of 1,8% of the number of decays.

### **1.5 Conclusions**

We have briefly presented the modern concepts of physical nature of a beta-decay and considered the key fundamental parameters of a nuclear betadecay, classification of the beta-transitions, selection rules etc. An effective relativistic approach to calculating the characteristics of the  $\beta$ -decay for different of atomic systems (nuclei) is presented and based on the combined relativistic nuclear model and relativistic many-body perturbation theory formalism with correct accounting for exchange-correlation, nuclear, radiation corrections. A relativeistic many-body perturbation theory is applied to electron subsystem, and a nuclear relativistic middle-field model is used for nuclear subsystem. The results of computing the characteristics of a whole series of allowed (superallowed)  $\beta$ -decays are presented, namely, for the  ${}^{33}P \rightarrow {}^{33}S$ ,  ${}^{35}S \rightarrow {}^{35}Cl$ ,  $^{45}$ Ca $\rightarrow$  $^{45}$ Sc,  $^{63}$ Ni $\rightarrow$  $^{63}$ Cu,  $^{106}$ Ru $\rightarrow$  $^{106}$ Rh,  $^{155}$ Eu $\rightarrow$  $^{155}$ Gd,  $^{241}$ Pu $\rightarrow$  $^{241}$ Am decays. The effect of the chemical environment of an atom on the characteristics (integral Fermi function, half-life) of  $\beta$ -transitions is studied. We presented the results of accurate calculation of the beta-decay parameters and compared with alternative theoretical data. Results of computing the Fermi function of a  $\beta$ -decay with different definitions of this function are presented too. The effect of an atomic field type choice on the beta decay characteristics as well as the influence of accounting for the exchange-correlation effects in the wave functions of the discrete and continuous spectrum on the values of the Fermi and integral Fermi functions are calculated.

## PART II RELATIVISTIC QUANTUM CHEMISTRY AND SPECTROSCOPY OF SOME KAONIC ATOMS: HYPERFINE AND STRONG INTERACTION EFFECTS

**Abstract**. We present a consistent relativistic approach to calculation of energy and spectral parameters of the kaonic exotic atomic systems with accounting for the nuclear radiative (quantum electrodynamics), hyperfine and strong interactions. The approach is naturally based on using the relativistic Klein-Gordon-Fock equation with introduction of electromagnetic and strong interactions potentials. To take a strong kaon-nuclear interaction into account, the generalized optical potential method is applied. In order to take the nuclear (the finite nuclear size effect) and radiative (quantum electrodynamics) corrections into account, the generalized Uehling-Serber approach is applied. The elements of the hyperfine structure theory of the kaonic atoms (KA) are presented. As an illustration, there are results of calculating the binding energies of various atomic levels in a hydrogen KA obtained within the H-like model of Iwasaki, the method of Indelicato et al and our approach (here the Fermi model of the charge distribution in the nucleus is used). Using our calculated "electromagnetic" values of the transition energy and a set of available latest experimental values, it is calculated a shift of the 1s level in kaonic hydrogen, due to the strong kaonnucleon interaction; the calculated "electromagnetic" value of the transition energy and further comparison with the experimental value of the transition allowed to obtain a theoretical estimate of the "strong" shift in kaonic hydrogen, which is in excellent agreement with the DEAR experimental data. In addition, the results of calculating the energy (electromagnetic) contributions (the main Coulomb correction, correction for vacuum polarization, relativistic correction for the recoil effect, a hyperfine shift) to the energy of the 8k-7i, 8i-7h transitions in the spectrum of kaonic nitrogen are presented and compared with the alternative theoretical data by Indelicato et al. .

**Keywords**: Quantum mechanics and spectroscopy - Kaonic atoms - Relativistic many-body perturbation theory - Klein-Gordon-Fock equation -Strong kaon-nuclear optical potential - Hyperfine Structure

### 2.1 Introduction

At present, an exotic atom is usually understood as a bound or quasistationary complex, which is obtained as a result of the landing of a heavy negatively charged particle (hadron, lepton)  $X_{(X = \mu^-, \pi^-, K^-, p^-, \Sigma^-, ...)}$  on an ordinary atom [1-50]. Hence the name of various types of exotic atoms, in particular, pionic, hyperonic, and KA of interest to us. Antihydrogen  $(p^-e^-)$ , muonium  $(\mu^-e^-)$ , and other systems are sometimes referred to such systems. The progress observed in the last decade in the theoretical and experimental study of hadronic atoms has been noted in a number of rather interesting reviews both on the physics of KA and on the physics of other hadronic atoms (see, for example, [51-60]).

Despite the more than 70-year period of the development of the physics of hadronic atoms, until the early 2000s, the situation with the data on the energy parameters of most kaonic, pionic, and other atoms was rather confusing [1-50]. Moreover, in recent years, the situation in the physics of kaonic atoms (KA) continues to change rapidly, the most striking example of which is the recent solution to the problems of kaonic hydrogen and helium (see [1-4] and the text below), due, among other things, to huge experimental errors. The study of kaonic atoms has become especially relevant in the light of the well-known progress of experimental studies (at meson factories in the laboratories of LAMPF (USA), PSI (Switzerland), TRIUMF (Canada), IFF (Russia), RIKEN (KEK, Japan), RAL (United Kingdom), DEAR at the DAPNE (Italy) and further substantial development of modern nuclear theory, quantum mechanics of atoms etc. At present, it is customary to consider (see, e.g., [1-10] ) that the main tasks of modern physics of the nucleus, elementary particles and high energies are to check the consequences and search for violations of the Standard Model of electroweak interactions with the aim of generalizing it, determining the neutrino masses, elucidating cosmological consequences from the physics of the microworld, etc.

Experimental studies here, as a rule, are developing in two complementary directions, in particular, the basis of the first is the construction of high-energy accelerators and unique detectors in order to detect new particles and interactions and verification of theoretical models [1-56]. The second direction is precisely the physics of hadronic atoms or, as is often indicated, the physics of intermediate energies, including the determination of the energy and spectral parameters of systems, as well as the search for rare decays and reactions with al-

ready known particles, the detection of violations of the fundamental properties of symmetry, the study of atomic and molecular processes with the participation of hadronic, including kaonic atoms.

It should be noted that the most correct approach to description of the kaonic atomic systems should be based on the principles of a modern consistent quantum chromodynamics with some elements of a quantum electrodynamics in a case of multielectron kaonic atoms. One could remind that consistent quantum chromodynamics represents a fundamental gauge theory of strong interactions with the interacting coloured quarks and gluons. Due to the strong interaction effect, there is a shift of energies of the low-lying levels from the purely electromagnetic values and the finite lifetime of the state corresponds to an increase in the observed level width. A few serious measurements are performed for different light and heavy kaonic atoms (e.g. [1-5]).

The most spread theoretical methods to study energy and spectral characteristics of kaonic atomic systems are described in Refs. [1-56]. In Refs. [43-56] ab initio schemes to the Klein-Gordon-Fock equation solution and further determination of the X–ray spectra for multi-electron kaonic atoms are presented with the different procedure for accounting for the nuclear, quantum electrodynamics, interelectron and kaon-electron interaction, exchange-correlation effects.

Another extremely fundamental aspect of the theory of hadronic atoms, in particular, KA, is associated with taking into account the radiation, QED corrections to the energy of the atom (transition energies, etc.) [57-74]. First of all, we are talking about taking into account the effect of vacuum polarization, as well as the less significant contribution for KA, due to the self-energy part of the Lamb shift. In [1,4, -, 5 42,43,57-78], a review of the current state of calculating radiative corrections to the energies of levels in kaonic atoms is given, and existing problems are analyzed, in particular, the difficulties of calculating the required radiative corrections in the case of heavy systems.

For a point nucleus in the first order of PT in  $z_{\alpha}$  (*Z* is the nuclear charge;  $\alpha$  is the fine structure constant), the vacuum polarization addition to the nucleus potential is the known Uehling-Serber potential  $\tilde{\upsilon}(r)$ . Taking into account the finiteness of the size of the nucleus modifies this potential. In the well-known works of Wichmann-Kroll (see [1,42,43,57-74]), a method was developed that makes it possible to calculate the vacuum polarization in all orders by  $\alpha (z_{\alpha})^n$ . In principle, the polarization shift could be calculated as a first-order correction to the potential  $\tilde{\upsilon}(r)$ . Convenient techniques with application to the description of the spectra of ordinary heavy atoms and multiply charged ions have been proposed and implemented in the papers by Declaux -Indelicato, Mohr, Saperstein, Johnson et al (see, for example, [1-4,50-98]). However, it should be noted that the main techniques based on the expansion of the contribution of radiative corrections by  $Z\alpha$ , naturally, work only for systems with low Z, i.e., light atoms. In the case of heavy systems, in particular, KA, these approaches will obviously not give correct results.

In Ref. [2, 81,82,91,92] we have presented an effective relativistic approach to calculation of spectra and the spectroscopic properties of the heavy kaonic (pionic) multielectron atomic systems. The approach is based on the Klein-Gordon-Fock equation solution with simultaneous accounting for electromagnetic and strong kaon-nuclear interactions.

The modified method of optical potential is used to take a strong kaonnuclear interaction into consideration. The consistent procedures, in particular, such as an advanced Uehling-Serber model and model potential approach are applied to take the main nuclear and quantum electrodynamics corrections into account. The results of calculation of the energy and spectral parameters for the kaonic atoms of He, <sup>184</sup>W, <sup>207</sup>Pb, <sup>238</sup>U, with taking the radiation (vacuum polarization), nuclear (finite size of a nucleus) and the strong kaon-nuclear interaction corrections into account have been presented. In this chapter we present the generalization of theory in order to determine the hyperfine and strong interaction effects as well as to calculate the probabilities of the radiative transitions in spectra of the hydrogen (including the kaonic hydrogen puzzle) and nitrogen kaonic atoms.

# 2.2 Relativistic theory of kaonic atoms with accounting for the nuclear, hyperfine and strong interaction effects

# 2.2.1 The Klein-Gordon-Fock equation and electromagnetic interactions in kaonic system

New version of a relativistic theory of kaonic atomic systems with accounting for the nuclear, radiation, hyperfine and strong interaction effects has been in detail presented in Refs. [2, 81,82,91,92]. So, here it is worth to consider only some new model elements. However, at once, we present shortly the summary of modern kaon data [1-10]. There are 4 types of kaons: negatively charged K<sup>-</sup> (composition: s-quark + u-antiquark),  $m_{K}^{-}=493.667\pm0.013$  MeV; lifetime ((1.2384±0.0024)10<sup>-8</sup> sec and radius:  $r_{K}=0.560\pm0,031$ fm. Its antiparticle is a positively charged kaon K<sup>+</sup> (composition: u-quark + s-antiquark). Naturally, due to the CPT symmetry,  $m_{K}^{+}=m_{K}^{-}$ , t=t<sub>K</sub><sup>-</sup> should take place (modern data:  $\Delta m=0.032\pm0.090$  MeV;  $\Delta t=(0.11\pm0.09)10^{-8}$ sec). Neutral kaons K<sup>0</sup>,  $\tilde{K}^{0}$  have the following composition: d-quark + s-antiquark and s-quark + d-antiquark,  $m_{K}^{0}=497.648\pm0.022$  MeV. Since K<sup>0</sup> and the antiparticle  $\tilde{K}^{0}$  appear as a result of strong interaction, they decay due to weak interaction and represent a composition of 2 weak eigenstates: short-lived neutral K=K<sub>s</sub> ("K-short"; decays into 2 pions and t<sub>K</sub><sup>0</sup>= 8.958×10<sup>-11</sup> sec ) and long-lived neutral K=K<sub>L</sub> ("K-long"; decays into 3 pions and t<sub>K</sub><sup>0</sup>= 5.18×10<sup>-8</sup> sec).

The kaonic wave functions are determined from solution of the known relativistic Klein-Gordon-Fock equation:

$$\{\frac{1}{c^2}[E + eV_0(r)]^2 + \hbar^2 \nabla^2 - m^2 c^2\}\varphi(x) = 0$$
(1)

Here c is a velocity of light, *E* is the total energy of atomic system,  $V_0$  is a sum of electric potential of a nucleus and strong interaction potential and the Uehling-Serber potential. To determine the electric potential of the nucleus, we used the Fermi model with charge distribution  $\rho(r)$  [1]:

$$\rho(r) = \rho_0 / \{1 + \exp[(r - c) / a)]\}$$
(2)

where parameter a=0.523 fm, and parameter c is chosen so that the rootmean-square radius is determined by the expression:  $\langle r^2 \rangle^{1/2} = (0.836 \cdot A^{1/3} + 0.5700)$  fm. As an alternative, as usual in atomic calculations, the empirically determined Z-dependence for the effective radius is used [1].

Other versions of the nuclear electric potential are presented by the Gauss and a homogeneously charged sphere models [92, 93]. The standard point of our theoretical approach is connected with determination of the electric and radiative potentials within the effective algorithm based on the differential equations method. This is the method originally developed by Ivanova and Ivanov [86] and further has been often used in solving many problems of atomic, molecular, nuclear and laser spectroscopy (e.g. [1,38,39,86,87,99140]). It is important to underline too that in order to determine an electrical interaction between a nucleus of finite size (radius of  $R_1$ ) and kaon (radius  $R_2$ ), one should use, for example, the potential, introduced by Indelicato et al [42,43,50] (e.g. Refs. [2, 81,82,91,92] too).

The next principally important block of our approach includes an accurate treatment of the radiative (quantum electrodynamics) effects (e.g., [37-41,57-68, 86-90]). We have used an effective the generalized Uehling-Serber approach to accounting the radiative corrections, in particular, vacuum-polarization one. The standard Uehling-Serber potential can be written as follows:

$$U(r) = -\frac{2\alpha}{3\pi r} \int_{1}^{\infty} dt \exp(-2rt/\alpha Z) (1 + 1/2t^{2}) \frac{\sqrt{t^{2} - 1}}{t^{2}} = -\frac{2\alpha}{3\pi r} C(g),$$

$$g = r/\alpha Z$$
(3)

where  $\alpha$ -constant of fine structure, which in fact (even taking into account the finite size of the nucleus) takes into account the main contributions of the order  $[\alpha (Z\alpha)]^n$ , but does not take into account the known contributions of Källen-Sabry, Wichmann-Kroll and others.

A more correct form of the Uehling-Serber potential is

$$U(r) = -(2\alpha/3\pi r)C(g),$$

where *C* is the so-called Uehling-Serber integral, but as C(g) the generalized function e.g. [1, 37-40, 86-90]) is used and then performed the transition from the potential *U* for the point core to the potential for the finite core.

To take into account the effect of electron shielding (in the case of the nitrogen atom), the usual potential of a self-consistent electron field is used.

The whole procedure of accounting for the QED corrections is in detail described in Refs. [1,85-87,91-93] as well as the fine corrections, provided by relativistic recoil, reduced mass and other effects.

Further in order to calculate the radiation transition probabilities or a radiation width in spectra of the kaonic atom we apply our traditional relativistic energy formalism in the version [37-39,66,67,85-87].

A total energy level shift  $\delta E$  can be presented in the following form:

$$\partial E_i = \operatorname{Re} \partial E_i + i \operatorname{Im} \partial E_i = \operatorname{Re} \partial E_i - (i/2) \Gamma_i^r, \qquad (4)$$

where  $\Gamma_i^r$  is a level radiation width. It should be noted that an oscillator strength (or transition probability) is directly connected with  $\Gamma_i^r$  ( $\mathbf{P_i} \sim \Gamma_i^r$ ) and further is determined by combination of amplitudes  $\langle ij|\sin(i|\omega|r_{ij})/r_{ij}|ji\rangle$  ( $\omega_{ij}$  is a frequency of the *i*-*j* transition). The detailed procedure for computing the radiative transition matrix elements as well as the hyperfine structure characteristics is presented in Refs. [1,48-50,66,67,72-77,88,90]. All computing is carried out with using the PC code Superatom (version 98).

# **2.2.2** Model approach to study of the strong and hyperfine interactions in kaonic atoms

As it is indicated, the most correct approach to description of the kaonic atomic systems should be based on the principles of a modern consistent quantum chromodynamics with some elements of a quantum electrodynamics in a case of multielectron kaonic atoms. Indeed a quantum chromodynamics represents a fundamental gauge theory of strong interactions with the interacting coloured quarks and gluons.

From the other side, since we are interested by relatively low energy physics of the kaonic atomic systems, one should use different model potential methods to determine the strong kaon-nuclear interaction in these systems (e.g. [1-56]). In this case the total Klein-Gordon-Fock equation taking into account the strong kaon-nuclear interaction  $V_N$  can be written as follows:

$$\left[\hbar^{2}\nabla^{2} + c^{-2}\left(E - V_{FS}\right)^{2} - \mu^{2}c^{2}\right]\psi = 2\mu V_{N}\psi.$$
(5)

where the standard phenomenological optical potential with the proton  $\rho_p$  and neutron  $\rho_n$  densities is written taken as follows [45]:

$$V_{N} = -\frac{2\pi}{\mu} \left[1 + \frac{M_{K}}{M_{N}}\right] \left[A_{Kp} \rho_{p}(r) + A_{Kn} \rho_{n}(r)\right], \qquad (6)$$

All the parameters of the potential (6) are described in Refs. [45,51-53]).

As it is noted in Ref. [1], the key disadvantage of the used potential (5) approach is connected with inaccurate determination of its parameters, including the proton and neutron densities, the effective K-nucleon scattering lengths (e.g., Refs. [1,45,51-53]).

It should be noted that if the experimental value of energy  $E_{exp}$  is known, then one could easily calculate a strong kaon-nucleus interaction shift of the energy levels:

$$E_N = E_{exp} - (E_{KGF} + E_{FS} + E_{QED} + \Delta E), \qquad (7)$$

In Eq. (7) in the brackets different purely electromagnetic contributions (respectively, an energy of kaon in a case of point nucleus, the nuclear finite size and QED effects terms).

. The nuclear potential for the spherically symmetric density  $\rho(r|R)$  can be presented as follows:

$$V_{nucl}(r|R) = -((1/r)\int_{0}^{r} dr' r'^{2} \rho(r'|R) + \int_{r}^{\infty} dr' r' \rho(r'|R)$$
(8)

Further the density can be approximated by the Gaussian function:

$$\rho(r|R) = \left(4\gamma^{3/2}/\sqrt{\pi}\right) \exp\left(-\gamma r^2\right)$$

$$\int_{0}^{\infty} dr r^2 \rho(r|R) = 1,$$

$$\int_{0}^{\infty} dr r^3 \rho(r|R) = R,$$
(9)

(here  $\gamma = 4/\pi R^2$  and R is the effective nucleus radius) or by the Fermi function:

$$\rho(r) = \rho_0 / \{1 + \exp[(r - c)/a)]\}, \tag{10}$$

where the parameter a=0.523 fm, the parameter c is chosen by such a way that it is true the following condition for average-squared radius:

$$< r^2 > 1/2 = (0.836 \cdot A^{1/3} + 0.5700) \text{fm.}$$
 (11)

Further one should use the formulas for the finite size nuclear potential and its derivatives on the nuclear radius. Here we use the known Ivanov-Ivanova et al method of differential equations (look details in Refs. [80-83]). The effective algorithm for definition of the potential  $V_{nucl}(r|R)$  is used in Refs. [65,72] and reduced to solution of the following system of the differential equations (for the Fermi model):

$$V'nucl(r, R) = (1/r^2) \int_0^r dr' r'^2 \rho(r', R) \equiv (1/r^2) y(r, R),$$
  
$$y'(r, R) = r^2 \rho(r, R),$$
 (12)

$$\rho'(r) = (\rho_0 / a) \exp[(r - c) / a] \{1 + \exp[(r - c) / a)] \}^2$$

with the corresponding boundary conditions. In a case of the Gaussian model the corresponding system of differential equations is as follows:

$$V'nucl(r,R) = \left(\frac{1}{r^2}\right)_{0}^{r} dr' r'^{2} \rho(r',R) \equiv \left(\frac{1}{r^2}\right)_{0} v(r,R)$$
(13)

$$v'(r,R) = r^2 \rho(r,R) \tag{14}$$

$$\rho'(r,R) = -8\gamma^{5/2} r/\sqrt{\pi} \exp(-\gamma r^2) = -2\gamma r \rho(r,R) = -\frac{8r}{\pi r^2} \rho(r,R) \quad (15)$$

with the boundary conditions:

$$V_{nucl}(0, R) = -4/(\pi r),$$
  

$$y(0, R) = 0,$$
  

$$\rho(0, R) = 4\gamma^{3/2} / \sqrt{\pi} = 32/R^3$$
(16)

Another, probably, more consistent approach is in using the relativistic mean-field (RMF) model, which been designed as a renormalizable meson-field theory for nuclear matter and finite nuclei [47].

The detailed procedure for computing the strong interaction corrections is presented in Refs. [1,48-50,66,67,72-77,88,90]. All computing is carried out with using the PC code Superatom (version 98).

### 2.3 Quantum electrodynamics effects in pionic atomic systems

Consistent and accurate account of the radiation or QED effects is of a great importance and interest in spectroscopy of the pionic atomic systems. To take into account the radiation (QED) corrections, namely, the important effect of the vacuum polarization one could use the procedure, which is in details described in the Refs. [41-58, 65,72-78].

Figure 1 [13] illustrates Feynman diagrams, which describe a QED effect of the vacuum polarization: A1 – the Uehling-Serber term; A2, A3 – terms of order  $[\alpha (Z\alpha)]^n$  (n=2,..); A4- the Källen-Sabry correction of order  $\alpha^2(\alpha Z)$ ; A5 –the Wichmann-Kroll correction of order  $\kappa \alpha (Z\alpha)^n$  (n=3). An effect of the vacuum polarization is usually taken into account in the first PT theory order by means of the generalized Uehling-Serber potential with modification to take into account the high-order radiative corrections. In particular, the generalized Uehling-Serber potential can be written as follows:

$$U(r) = -\frac{2\alpha}{3\pi r} \int_{1}^{\infty} dt \exp(-2rt/\alpha Z) \left(1 + 1/2t^2\right) \frac{\sqrt{t^2 - 1}}{t^2} = -\frac{2\alpha}{3\pi r} C(g), \quad (17)$$

where

$$g=r/(\alpha Z).$$

More correct and consistent approach is presented in Refs. [42,43,52-62,134-160].

An accounting of the nuclear finite size effect modifies the potential (7) as follows:

$$U^{FS}(r) = -\frac{2\alpha^2}{3\pi} \int d^3 r' \int_m^\infty dt \exp\left(-2t |r - r'| / \alpha Z\right) \times \left(1 + \frac{1}{2t^2}\right) \frac{\sqrt{t^2 - 1}}{t^2} \frac{\rho(r')}{|r - r'|}, \quad (18)$$

The Uehling-Serber potential, determined as a quadrature (11), may be approximated with high precision by a simple analytical function. The use of new approximation of the Uehling potential permits one to decrease the calculation errors for this term down to 0.5 - 1%.

A method for calculation of the self-energy part of the Lamb shift is based on an idea by Ivanov-Ivanova (see Ref. [80,81]), which generalizes the known hydrogen-like method by Mohr and radiation model potential method by Flambaum-Ginges (look details in Refs. [41,52,61,62]).

According to Ref. [9], in an atomic system the radiative shift and the relativistic part of energy are, in principle, defined by one and the same physical field. One could suppose that there exists some universal function that connects the self - energy correction and the relativistic energy. The self-energy correction for the states of a hydrogen-like ion was presented by Mohr [41] as:

$$E_{SE}(H|Z, nlj) = 0.027148 \frac{Z^4}{n^3} F(H|Z, nlj)$$
 (19)

The values of *F* are given at Z = 10 - 110,  $nlj = 1s, 2s, 2p_{1/2}, 2p_{3/2}$ .

These results are modified here for the states  $1s^2 nlj$  of the non-H atoms (ions). It is supposed that for any ion with nlj electron over the core of closed shells the sought value may be presented in the form [52]:

$$E_{SE}(Z, nlj) = 0.027148 \frac{\xi^4}{n^3} f(\xi, nlj) (cm^{-1})$$
(20)

The parameter  $\xi = (E_R)^{1/4}$ ,  $E_R$  is the relativistic part of the bounding energy of the outer electron; the universal function  $f(\xi, nlj)$  does not depend on the composition of the closed shells and the actual potential of the nucleus.

The procedure of generalization for a case of the non-H systems with the finite nucleus consists of the following steps [9,]:

1). Calculation of the values  $E_R$  and  $\xi$  for the states *nlj* of H-like ions with the point nucleus (in accordance with the Zommerfeld formula);

2). Construction of an approximating function  $f(\xi, nlj)$  by the found reference Z and the appropriate F(H|Z, nlj); 3). Calculation of  $E_R$  and  $\xi$  for the states nlj of *Li*-like ions with the finite nucleus; 4). Calculation of  $E_{SE}$  for the sought states

by the formula (14). The energies of the states of the non-H atoms and ions are calculated twice: with a conventional constant of the fine structure  $\alpha = 1/137$  and with  $\tilde{\alpha} = \alpha/1000$ .

The results of latter calculations were considered as non-relativistic. This permitted isolation of  $E_R$  and  $\xi$ .

A detailed evaluation of their accuracy may be made only after a complete calculation of  $E_{SE}^{n}(Z, nlj)$ .

It may be stated that the above extrapolation method is more justified than using the widely spread expansions by the parameter  $\alpha z$ . The other details of the theory and computational code can be found in Refs.[61-70, 76-79].

#### 2.4 Elements of Relativistic energy approach

Let us remind that an initial general energy formalism combined with an empirical model potential method in a theory of atoms and multicharged ions has been developed by Ivanov-Ivanova et al [80-84]; further more general ab initio gauge-invariant version of relativistic energy approach has been presented by Glushkov-Ivanov [89]. The imaginary part of the energy shift of an atom is connected with the radiation decay possibility (transition probability). For the  $\alpha$ -n radiation transition Im $\Delta E$  in the lowest order of the PT is determined as:

$$\operatorname{Im} \Delta E = -\frac{1}{4\pi} \sum_{\substack{\alpha > n > f \\ [\alpha < n \le f]}} V_{\alpha n \alpha n}^{|\omega_{\alpha n}|}, \qquad (21a)$$

where  $\omega_{\alpha_n}$  is a frequency of the  $\alpha$ -n radiation, ( $\alpha$ >n>f) for particle and ( $\alpha$ <n<f) for vacancy. The matrix element *V* is determined as follows:

$$V_{ijkl}^{|\omega|} = \iint dr_1 dr_2 \Psi_i^*(r_1) \Psi_j^*(r_2) \frac{\sin|\omega| r_{12}}{r_{12}} (1 - \alpha_1 \alpha_2) \Psi_k^*(r_2) \Psi_l^*(r_1)$$
(21b)

The detailed procedure for computing the matrix elements (22) is presented in Refs. [76-88]. All calculations are performed with using the numeral code Superatom (version 98).

The imaginary part  $Q_{\lambda}^{Cul}$  contains the radial  $R_{\lambda}$  and angular  $S_{\lambda}$  integrals as follows:

$$\operatorname{Im} Q_{\lambda}^{Cul}(12;43) = Z^{-1} \operatorname{Im} \{ R_{\lambda}(12;43) S_{\lambda}(12;43) + R_{\lambda}(\tilde{1}2;4\tilde{3}) S_{\lambda}(\tilde{1}2;4\tilde{3}) + R_{\lambda}(\tilde{1}2;\tilde{4}3) S_{\lambda}(\tilde{1}2;\tilde{4}3) + R_{\lambda}(\tilde{1}2;\tilde{4}3) S_{\lambda}(\tilde{1}2;\tilde{4}3) + R_{\lambda}(\tilde{1}2;\tilde{4}3) S_{\lambda}(\tilde{1}2;\tilde{4}3) \}.$$
(21c)

In the non-relativistic limit there remains only the first term in (44) depending only on the large component f(r) of the one-electron Dirac functions:

$$\operatorname{Im} R_{\lambda}(12;43) = \frac{1}{2} (2\lambda + 1) \pi X_{\lambda}(13) X_{\lambda}(24)$$

$$X_{\lambda}(12) = \int dr \, r^{3/2} \, f_1(r) J_{\lambda+1/2}^{(1)} \left( r\alpha Z \left| \omega \right| f_2(r) \right)$$
(21d)

The angular coefficient has only a real part:

$$S_{\lambda}(12;43) = S_{\lambda}(13)S_{\lambda}(24)$$

$$S_{\lambda}(13) = \left\{\lambda l_{1}l_{3}\right\} \begin{pmatrix} j_{1} & j_{3} & \lambda \\ \frac{1}{2} & -\frac{1}{2} & 0 \end{pmatrix}$$
(21e)

 $\{\lambda l_1 l_3\}$  means that  $\lambda, l_1$  and  $l_3$  must satisfy the triangle rule and the sum  $\lambda + l_1 + l_3$  must be an even number.

The rest terms in (44) include the small components of the Dirac functions. The tilde designates that the large radial component f must be replaced by the small one g, and instead of  $l_i$ ,  $\tilde{l_i} = l_i - 1$  should be taken for  $j_i < l_i$  and  $\tilde{l_i} = l_i + 1$  for  $j_i > l_i$ .

Only the phase factor of the formulae (43)-(45) depends on the orbital momenta  $l_i$ .

Such a simple form of the angular part of the matrix elements has been derived by Kanjauskas and Rudzikas (1975; see Refs. [3,4,90-94]) when calculating  $_{\text{Re}\Delta E}$ .

The problem of the searching for the optimal one-electron representation is one of the oldest in the theory of multielectron atoms. Two decades ago Davidson had pointed the principal disadvantages of the traditional representation based on the self-consistent field approach and suggested the optimal "natural orbitals" representation. Nevertheless there remain insurmountable calculational difficulties in the realization of the Davidson program.

One of the simplified recipes represents, for example, the DFT method [29-33]. Unfortunately, this method doesn't provide a regular refinement procedure in the case of the complicated atom with few quasiparticles (electrons or vacancies besides the core of closed shells).

In the theory of radiative and nonradiative decay of the quasistationary states of a multielectron atom it is well known an energy approach (see below), based on the adiabatic Gell-Mann and Low formula [89,117,128] for the energy shift  $\delta E$  with electrodynamic scattering matrice. The method is a consistently electrodynamic one, allowing for the uniform consideration of a variety of induced and spontaneous processes different by their physical nature [129-156].

For simplicity, let us consider now the one-quasiparticle system. The multiquaiparticle case doesn't contain principally new moments. In the lowest, second order, of the QED PT for the  $\delta E$  there is the only one- quasiparticle Feynman diagram A (fig.1), contributing the Im  $\delta E$  (the radiation decay width).

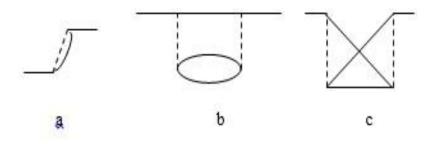


Figure 1 – a: second other EDPT diagram contributing the imaginary energy part related to the radiation transitions. b and c: fourth order polarization diagrams

Our density functional method, based on the formally exact QED PT, uses for this purpose the model bare potential, constructed with accounting for the spectroscopic information concerning simplest systems with one quasiparticle [90].

In the next, the fourth order there appear diagrams, whose contribution into the Im $\delta E$  account for the core polarization effects. This contribution describes collective effects and it is dependent upon the electromagnetic poten-

tials gauge (the gauge noninvariant contribution). Let us examine the multielectron atom with one quasiparticle in the first excited state, connected with the ground state by the radiation transition. In the zero QED PT approximation we, as usually (see ref.[128]), use the one electron bare potential:

$$V_{\rm N}(r) + V_{\rm C}(r), \tag{22a}$$

with  $V_N(r)$  describing the electric potential of the nucleus,  $V_C(r)$ , imitating the interaction of the quasiparticle (initial or any other appearing in the real and virtual processes) with the core of closed shells. The perturbation in terms of the second quantization representation reads

$$-V_{\rm C}(r) \ \psi^{\dagger}(r) \ \psi(r) \ - \ j_{\mu}(x) \ A^{\mu}(x) \tag{22b}$$

The core potential  $V_{\rm C}(r)$  is related to the core electron density  $\rho_{\rm C}(r)$  in a standard way. The latter fully defines the one electron representation. Moreover, all the results of the approximate calculations are the functionals of the density  $\rho_{\rm C}(r)$ . Here, the lowest order multielectron effects, in particular, the gauge dependent radiative contribution for the certain class of the photon propagator calibration is treating.

This value is considered to be the typical representative of the electron correlation effects, whose minimization is a reasonable criteria in the searching for the optimal one-electron basis of the PT. Besides, this procedure derives an undoubted profit in the routine spectroscopic calculations as it provides the way of the refinement of the atomic characteristics calculations, based on the "first principles".

Remember that the closeness of the radiation probabilities calculated with the alternative forms of the transition operator is commonly used as a criterion of the multielectron calculations quality. It is of special interest to verify the compatibility of the new optimization principle with the other requirements conditioning a "good" one-electron representation.

The imaginary part of the diagram a (fig.1) contribution in the case of the Lorentz calibration has been presented previously as a sum of the partial contributions of  $\alpha$ -s transitions from the initial state  $\alpha$  to the final state s [3,128],

Im
$$\delta E_{\alpha}(\mathbf{a}) = \sum_{s} \text{Im } \delta E(\alpha - s; \mathbf{a}).$$
 (22c)

Two fourth order polarization diagrams b,c (fig.1) are considered in this article. The contributions being under consideration, are gauge- dependent, though the results of the exact calculation of any physical quantity must be gauge independent. All the noninvariant terms are multielectron by their nature. Let us take the photon propagator calibration as follows:

$$D = D_{\rm T} + CD_{\rm L},$$
  

$$D_{\rm T} = \delta_{\mu\nu} / (k_0^2 - k^2),$$
  

$$D_{\rm L} = -k_{\mu}k_{\nu} / (k_0^2 - k^2).$$
(23)

Here,  $D_{\rm T}$  represents the exchange of electrons by transverse photons,  $D_{\rm L}$  that by longitudinal ones. The values C=0 and C=1 of the gauge constant are related to the Lorentz and to the Landau calibrations correspondingly. One could calculate the contribution of the a,b,c diagrams (fig.1.1) into the Im  $\delta E$  taking into account both the  $D_{\rm T}$  and  $D_{\rm L}$  parts.

The A diagram contribution into the Im  $\delta E$  related to the  $\alpha$ -s transition reads as

$$-\frac{e^{2}}{8\pi} \int dr_{1} dr_{2} \psi_{\alpha}^{+}(r_{1}) \psi_{s}^{+}(r_{2}) \frac{1-\alpha_{1}\alpha_{2}}{r_{12}} \sin(\omega_{\alpha s} r_{12}) \psi_{\alpha}(r_{2}) \psi_{s}(r_{1}), \quad (24)$$

for  $D = D_{\rm T}$ , and

$$-\frac{e^{2}}{8\pi} \iint dr_{1} dr_{2} \psi_{\alpha}^{+}(r_{1}) \psi_{s}^{+}(r_{2}) \left\{ \left[ (1 - \alpha_{1} n_{12} \alpha_{2} n_{12}) / r_{12} \right] \sin (\omega_{\alpha_{s}} r_{12}) + \omega_{\alpha_{s}} (1 + \alpha_{1} n_{12} \alpha_{2} n_{12}) \times \cos(\omega_{\alpha_{s}} r_{12}) \right\} \psi_{\alpha}(r_{2}) \psi_{s}(r_{1}), \quad (25)$$

for  $D=D_{L}$ , where  $\omega_{\alpha s}$  is the  $\alpha$ -s transition energy.

According to the Grant theorem [1], the  $D_{\mu\nu,L}$  contribution vanishes, if the one-quasiparticle functions  $\psi_{\alpha}$ ,  $\psi_s$  satisfy the same Dirac equation. Nevertheless this term is to be retained when using the distorted waves approximation, for example.

Another very important example represents the formally exact approach based on the bare hamiltonian defined by its spectrum without specifying its analytic form [129,130]. Here the noninvariant contribution appears already in the lowest order. When calculating the forth order contributions some approximations are inevitable. These approximations have been formulated in Refs.[90, 94,96], where the polarization corrections to the state energies have been considered. Here, we reproduce briefly the calculational scheme.

Let us consider the direct polarization diagram as an example. After the linearization over the gauge constant C, the formal expression for the sought for value looks as

$$Im\delta E_{ninv}(\alpha - s \mid b) = -C \frac{e^2}{4\pi} \iiint dr_1 dr_2 dr_3 dr_4 \sum_{n > f, m \le f} \left(\frac{1}{\omega_{mn} + \omega_{\alpha s}} + \frac{1}{\omega_{mn} - \omega_{\alpha s}}\right) \times \\ \times \Psi_{\alpha}^+(r_1) \Psi_{m}^+(r_2) \Psi_{s}^+(r_4) \Psi_{n}^+(r_3) \cdot \left[(1 - \alpha_1 \alpha_2) / r_{12}\right] \cdot \left\{ \left[\alpha_3 \alpha_4 - (\alpha_3 n_{34})(\alpha_4 n_{34})\right] / r_{34} \right] \\ \times \sin[\omega_{\alpha n}(r_{12} + r_{34})] + \left[1 + (\alpha_3 n_{34})(\alpha_4 n_{34})\right] \omega_{\alpha n} \cos[\omega_{\alpha n}(r_{12} + r_{34})] \right\} \\ \times \Psi_{m}(r_3) \Psi_{\alpha}(r_4) \Psi_{n}(r_2) \Psi_{s}(r_1).$$
(26)

and the upper continuum electron states;  $m \le f$  indicates the finite number of states in the core and the states of the negative continuum (accounting for the electron vacuum polarization).

All the vacuum polarization and the self-energy corrections to the sought for values are omitted. Their numerical smallness compared with the other relativistic corrections to the different atomic characteristics had been verified by the numerous calculations. The renormalization procedure is not needed here. Nevertheless the second-order vacuum polarization and self-energy corrections can be additively added to the complex state energy. The remaining expression includes summation over the bound and upper continuum atomic states.

To evaluate this sum, we use the analytic relation between the atomic electron Fermi level and the core electron density  $\rho_c(r)$ , appropriate to the homogeneous nonrelativistic electron gas (the Tomas- Fermi approximation). Now the sum  $\sum_{n>f, m < f}$  can be calculated analytically, its value becomes a functional of the core electron density. The resulting expression looks as the

correction due to the additional nonlocal interaction of the active quasiparticle with the closed shells.

Nevertheless its calculation is reducible to the solving of the system of the ordinary differential equations - the one- dimensional procedure. The most important refinements can be introduced by accounting for the relativistic and the density gradient corrections to the Tomas- Fermi formula (see Refs. [3,4]). The same program is realized for the remaining forth order QED PT polarization corrections.

The minimization of the functional Im  $\delta E_{ninv}$  (b+c) leads to the integro- differential equation for the  $\rho_c$  (the Dirac-Fock or Dirac-Kohn-Sham-like equations for the electron density) that can be solved using one of the standard numerical codes.

As a result one can get the optimal PT one-quasi-particle basis.

In concrete calculations it is sufficient to use a more simplified procedure, which is reduced to the functional minimization using the variation of the correlation potential parameter b in Eq. (13) or (17) [3,4,117,128].

#### 2.5 Some Results and Conclusions

Below we present some results of calculation of the energy and spectroscopic characteristics for kaonic atoms of the hydrogen and nitrogen. The kaonic hydrogen atom is of a considerable interest as a meson atom that has no electron subsystem.

The results of experimental study of the hydrogen KA has been presented, for example, in Refs. [3,4]. In particular, the X-ray 2-1 transition in the kaon H spectrum is studied obtained in experiment by SIDDHARTA Collaboration (see details in Refs. [3,4]).

In Table 1 there are presented the results of calculating the binding energy of different atomic levels in a hydrogen KA (in keV) obtained in the H-like model of Iwasaki, the method by Indelicato et al and our approach. The Fermi model of a charge distribution in a nucleus is used in our computing [53-56]. In principle, all approaches naturally give fairly close results. Note that the contribution of radiation corrections here is extremely small, in contrast to KA with a large value of the nuclear charge.

Level	Indelicato et al	Iwasaki	Our data
1s	8.63360	8.634	8.63380
2p	2.15400	2.154	2.15390
3p	0.95720	0.957	0.95710

Table 1 – Calculated binding energies of different atomic levels in hydrogen KA (in keV)

In Table 2 there are presented the experimental and theoretical values of the energy (keV) of the 2-1 transition to the hydrogen KA [1-4,7,43,44,53-56]. Experimental data ware listed in Refs. [3,4]. Theoretical results are obtained on the basis of calculations within the method by Indelicato et al [42,43] and our relativistic approach.

Table 2 - The experimental  $E_{exp}$  and theoretical  $E_c$  values of energy (keV) of the 2-1 transition in the hydrogen KA spectrum (see text)

E <sub>c</sub> ,	Ec	E <sub>exp</sub>
This work	[44,50]	[1-4]
6.481	6.480	6,44±0,4
	6.482	6.675±0,15
		6,96±0,33

As in the case of the energies of atomic levels, there is a fairly good agreement between the theoretical results (in fact, the electromagnetic contributions to the transition energy!), which is explained by the insignificant role of radiative corrections (in the absence of electrons). We have performed the calculation of the transition energy using charge distribution models in the form of a uniformly charged ball, the Gauss distribution and the Fermi model. The difference in the corresponding values of energies averaged a few eV (compared to keV), thus, in this case, the choice of the charge distribution model is not critical. On the other hand, for radiative transitions between low-lying energy levels (n  $\sim$  1), as in our case, the contribution due to the strong interaction is very significant. The strong kaon-nucleon interaction induces a shift and broadening of the 1s level in the spectrum of kaonic hydrogen. The corresponding shift in the presence of an experimental value of the transition energy, say,  $E_{exp}(2p-1s)$  and a "precisely" defined "electromagnetic" correction  $E^{EM}$  is defined as:

$$\Delta E(1s) = E_{exp}(2p-1s) - E^{EM}(2p-1s).$$

According to the experimental data by M. Iwasaki et al (KEK, 1997), as well as T. Ito, R. Hayano, S. Nakamura et al (2007), the measured shift is as follows:

 $\Delta E_{1s}$ =-323±63(stat.)±11(syst.) eV

It is appropriate to present the results of earlier experiments (see, for example, [41]), in particular, according to the measurements by Davies et al (1979):

 $\Delta E_{1s}$ =+40-50 eV, Bird et al (1983)  $\Delta E_{1s}$ =+180-190 , Izycki et al (1980)  $\Delta E_{1s}$ =+260-270 eV.

Finally, the most recent DEAR (DAFNE Exotic Atom Research) experiment, performed on the DAFNE facility at the Frascatti laboratory (Frascatti, Italy, 2005), allowed to get the following result:

$$\Delta E_{1s}$$
=-194±37(stat.)±6(syst.) eV;

Now using the "electromagnetic" values of the transition energy, obtained in theoretical calculation and the latest experimental values available, it is not difficult to estimate the shift of the 1s level in spectrum of the kaonic hydrogen due to the strong kaon-nucleon interaction. For different values  $E_{exp}(2p-1s)$  then one could obtain:

$$\Delta E_{1s}$$
=-6440+6481=419B,  $\Delta E_{1s}$ =-6675+6481=-194 eV,  
 $\Delta E_{1s}$ =-6960+6481=-479 eV.

Note that the exact coincidence of the theoretically estimated (-194 eV) and the experimental and measured "strong" shift here is, obviously, fortunately

random. In contrast to the considered below kaonic helium, the "strong" shift of the 1s level in the hydrogen atom is rather large in absolute value.

In any case, the calculated "electromagnetic" value of the transition energy and further comparison with the experimental value of the transition allowed us to obtain a theoretical estimate of the "strong" shift in kaonic hydrogen, which is in excellent agreement with the DEAR experimental shift.

# Spectrum of kaonic nitrogen. Hyperfine structure and radiative transitions probabilities

The kaonic nitrogen atom (<sup>14</sup>N), like the previous case of the kaonic hydrogen, belongs to the light KA. Its study is of a great interest, first of all, from the point of view of developing new X-ray standards. As a model of the charge distribution in the nucleus, we applied the model of a uniformly charged ball, the Gauss model, and the Fermi model. The influence of the choice of the potential describing the effect of vacuum polarization on the energy parameters of KA has been in details studied too.

To take into account the effect of vacuum polarization, we used the standard Uehling-Serber potential and the generalized potential of the form [1] taking into account the finite size of KA nucleus. The relativistic QED corrections of higher orders are also taken into account, including the relativistic recoil correction.

In Table 3 there are presented the results of calculating the energy (electromagnetic) contributions (the main Coulomb correction, the correction for the vacuum polarization, the relativistic correction for the recoil effect and the hyperfine shift) to the 8k-7i transition energy in the spectrum of kaonic nitrogen: the data of calculations on the basis of theory by Indelicato et al [43,50] and our theoretical approach.

Table 3 also shows the error caused by the inaccuracy in determining the mass of the K<sup>-</sup>kaon. Our values, given in Table 3, correspond to the Gaussian model of charge distribution in the nitrogen nucleus.

Calculation using other models showed the difference, which is for the model of a uniformly charged ball (0.8 eV) and the Fermi model (0.5 eV).

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Contributions	8k-7i,	8k-7i			
	Theory [43,50]	Present work			
Coulomb Con-	2968.4565	2968.4492			
tribution					
Vacuum polari-	1.1789	1.1778			
zation					
Relativistic re-	0.0025	0.0025			
coil effect					
Hyperfine shift	-0.0006	-0.0007			
Full energy	2969.6373	2969.6288			
Error	0.096	0.096			

Table 3 – Energy contributions (in eV) to the 8k-7i transition energy in the spectrum of kaonic nitrogen

The value corresponding to the correction for vacuum polarization, obtained in the approximation of the standard Uehling-Serber potential (i.e., without taking into account the contribution of the vacuum of polarization corrections of higher orders in the parameter  $z\alpha$ , namely Wichmann-Kroll, Calen-Sabri, etc.) is 1.1665 eV, while the corresponding value with accounting for the indicated corrections is 1.1778 eV.

According to estimates by Indelicato et al. [43, 50], who performed a complete calculation of the vacuum of polarization corrections of higher orders in the parameter, the sought contribution is 0.01 eV.

Thus, the use of the generalized Uehling-Serber potential turns out to be more efficient in comparison with the standard Uehling-Serber approximation, which is usually used in calculating the spectra of both usual (purely multielectron) and exotic atomic systems.

On the other hand, for atoms with a low nuclear charge, the sought contribution to the vacuum of polarization corrections remains insignificant. Naturally, with an increase in the nuclear charge, in the transition to heavy KA, this contribution will increase significantly.

The PT formalism for evaluating the vacuum of polarization corrections of higher orders in terms of  $z\alpha$ , naturally, ceases to be correct, and a nonperturbative approach is required here.

In Table 4 we present the results of calculating the energies (in eV) of transitions between the components of the hyperfine structure 8k-7i in a spectrum of the kaonic nitrogen: 1) the theoretical data, obtained within the theory by Inelicato et al [43,50] and our theoretical approach.

		-
F-F'	ΔΕ,	ΔΕ,
	Theory [43,50]	Present work
8-7	2969.6365	2969.6289
7-6	2969.6383	2969.6298
7-7	2969.6347	2969.6264
6-5	2969.6398	2969.6345
6-6	2969.6367	2969.6284
6-7	2969.6332	2969.6248

Table 4 – Energies (in eV) of transitions between the components of the hyperfine structure 8k-7i in the spectrum of kaonic nitrogen

Similarly, in Table 5 we present the results of calculating a probabilities A (in  $10^{13}$  s<sup>-1</sup>) of the transitions between the components of the hyperfine structure 8k-7i in the spectrum of kaonic nitrogen: 1) the theoretical data , obtained on the basis of calculation within the theory by Indelicato et al [43,50] and 2) data, obtained on the basis of our theoretical approach.

Analysis of the data presented shows, in principle, a reasonable agreement between the results of both theories. It should be noted that the radiative corrections are taken into account in our theory within the combined generalized Uehling-Serber approach and method [2].

This, on the one hand, explains the difference in the results, on the other hand, the data we obtained should be considered as the most accurate at the moment. The same applies to the analysis of the obtained values of the probabilities of transitions between the components of the hyperfine structure 8k-7i in the spectrum of kaonic nitrogen.

The considered transitions in the spectrum of kaonic nitrogen actually belong to the so-called Rydberg transitions, which largely demonstrate hydrogenlike properties; therefore, as a rule, the results of various theories for such transitions are, as a rule, in good agreement with each other.

F-F'	А	А
	Theory [43,50]	This work
8-7	$1.54 \times 10^{13}$	$1.51 \times 10^{13}$
7-6	$1.33 \times 10^{13}$	$1.32 \times 10^{13}$
7-7	$1.31 \times 10^{13}$	$1.29 \times 10^{13}$
6-5	$1.15 \times 10^{13}$	$1.12 \times 10^{13}$
6-6	$0.03 \times 10^{13}$	$0.02 \times 10^{13}$
6-7	-	$0.004 \times 10^{13}$

Table 5 – Probabilities A  $(10^{13} \text{ c}^{-1})$  of transitions between the components of the hyperfine structure 8k-7i in the spectrum of kaonic nitrogen

In Table 6 we present the results of our calculation of the energy (electromagnetic) contributions (the main Coulomb correction, the correction for vacuum polarization, the relativistic correction for the recoil effect and the hyperfine shift) to the 8i-7h transition energy in the spectrum of kaonic nitrogen.

in the spectrum of kuome ry			
Contributions	8i-7h		
	Present work		
Coulomb Contribution	2968.5344		
Vacuum polarization	1.8758		
Relativistic recoil	0.0025		
Hyperfine shift	-0.0009		
Full energy	2970.4118		
Error	0.096		

Table 6 - Energy contributions (in eV) to the 8i-7h transition energyin the spectrum of kaonic N

The data on the energy contributions presented here correspond to the use of the Gaussian model of the charge distribution in the nucleus.

Similarly to the previous case, an error is also indicated due to the in accuracy in determining the mass of the  $K^-$ . kaon.

The value corresponding to the correction for the polarization of the core, obtained in the generalized Uehling-Serber approximation (i.e., taking into account the contribution of the vacuum of polarization corrections of higher orders in the parameter  $Z\alpha$ ) is 1.8758 eV.

Further, in Table 8 we present the results of our calculation of the energies (in eV) of transitions between the components of the hyperfine structure 8i-7h in the spectrum of kaonic nitrogen.

F-F'	ΔΕ,
	This work
7-6	2970.4107
6-5	2970.4135
6-6	2970.4086
5-4	2970.4193
5-5	2970.4114
5-6	2970.4073

Table 7 – Energies (in eV) of transitions between the components of the hyperfine structure 8i-7h in the spectrum of kaonic nitrogen

Correspondingly, in Tables 8 and 9 we present the results of our calculation of probabilities A (in  $10^{13}$  s<sup>-1</sup>) of transitions between the hyperfine structure components 8i-7h and 7h-6g in the spectrum of kaonic nitrogen. Note that, for the first time in a theory of the kaonic atomic systems, a consistent relativistic energy approach has been generalized and applied to calculating the probabilities of radiative transitions between the components of the hyperfine structure,

Table 8 – Probabilities A  $(10^{13}, s^{-1})$  of transitions between components of the hyperfine structure 8i-7h in the spectrum of kaonic nitrogen

F-F'	Р,
	This work
7-6	$1.16 \times 10^{13}$
6-5	$0.99 \times 10^{13}$
6-6	$0.96 \times 10^{13}$
5-4	$0.81 \times 10^{13}$
5-5	$0.02 \times 10^{13}$
5-6	$0.005 \times 10^{13}$

F-F'	Р,
	This work
6-5	$0.82 \times 10^{13}$
6-6	$0.76 \times 10^{13}$
5-4	$0.42 \times 10^{13}$
5-5	$0.01 \times 10^{13}$
5-6	$0.001 \times 10^{13}$

Table 9 – Probabilities A  $(10^{13}, s^{-1})$  of transitions between the components of the hyperfine structure 7h-6g in the spectrum of kaonic nitrogen

To conclude, let us note that a consistent relativistic approach to calculation of energy and spectral parameters of the kaonic exotic atomic systems with accounting for the nuclear radiative (quantum electrodynamics), hyperfine and strong interactions is presented.

The approach is naturally based on using the relativistic Klein-Gordon-Fock equation with introduction of electromagnetic and strong interactions potentials. To take a strong kaon-nuclear interaction into account, the generalized optical potential method is applied. As an illustration, different results of computing the energy and spectral characteristics for some kaonic atoms are presented.

In particular, the results of calculating the binding energies of various atomic levels in the hydrogen and nitrogen kaonic atoms are listed and obtained within the H-like model of Iwasaki, the method of Indelicato et al and our approach (here the Fermi model of the charge distribution in the nucleus is used). In addition, the results of calculating the energy (electromagnetic) contributions (the main Coulomb correction, correction for vacuum polarization, relativistic correction for the recoil effect, a hyperfine shift) to the energy of the 8k-7i, 8i-7h transitions in the spectrum of kaonic nitrogen are listed too.

In principle, one should keep in mind that a physically reasonable agreement between experimental and theoretical data for the kaonic atomic systems can be achieved only with simultaneous accurate and correct consideration of relativistic, radiation, and nuclear effects. The further improvement and refinement of the theoretical approach and increasing the calculational data accuracy should include the corresponding development of model of the strong kaonnuclear interaction such as receiving more exact data about the kaon-nuclear potential parameters.

#### CONCLUSIONS

We present a consistent relativistic approach to calculation of energy and spectral parameters of the kaonic exotic atomic systems with accounting for the nuclear radiative (quantum electrodynamics), hyperfine and strong interactions. The approach is naturally based on using the relativistic Klein-Gordon-Fock equation with introduction of electromagnetic and strong interactions potentials. To take a strong kaon-nuclear interaction into account, the generalized optical potential method is applied. In order to take the nuclear (the finite nuclear size effect) and radiative (quantum electrodynamics) corrections into account, the generalized Uehling-Serber approach is applied. The elements of the hyperfine structure theory of the kaonic atoms (KA) are presented. As an illustration, there are results of calculating the binding energies of various atomic levels in a hydrogen KA obtained within the H-like model of Iwasaki, the method of Indelicato et al and our approach (here the Fermi model of the charge distribution in the nucleus is used). Using our calculated "electromagnetic" values of the transition energy and a set of available latest experimental values, it is calculated a shift of the 1s level in kaonic hydrogen, due to the strong kaon-nucleon interaction; the calculated "electromagnetic" value of the transition energy and further comparison with the experimental value of the transition allowed to obtain a theoretical estimate of the "strong" shift in kaonic hydrogen, which is in excellent agreement with the DEAR experimental data. In addition, the results of calculating the energy (electromagnetic) contributions (the main Coulomb correction, correction for vacuum polarization, relativistic correction for the recoil effect, a hyperfine shift) to the energy of the 8k-7i, 8i-7h transitions in the spectrum of kaonic nitrogen are presented and compared with the alternative theoretical data by Indelicato et al.

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Навчальне електронне видання

## GLUSHKOV Alexander V. and KHETSELIUS Olga Yu.

## АТОМНА ОПТИКА ТА СПЕКТРОСКОПІЯ. Ч.5

Конспект лекцій (англійською мовою)

Видавець і виготовлювач Одеський державний екологічний університет вул. Львівська, 15, м. Одеса, 65016 тел./факс: (0482) 32-67-35 E-mail: <u>info@odeku.edu.ua</u> Свідоцтво суб'єкта видавничої справи ДК № 5242 від 08.11.2016